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ChRIS Response Messages

**ChRIS XML Response Message
Structure -
Data & Business Rules Validation
for End of Year 2011/2012**

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THIS DOCUMENT CONTAINS 51 PAGES INCLUDING TITLE PAGE

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Whenever an attempt is made to electronically file a tax form, a message should be returned to confirm the result of that attempt. This may take the form of either a success message, which confirms successful filing of that form, or a number of error messages. Error messages have two functions: to identify errors within the form itself and establish corrective action to be taken, and secondly to recognise errors within the connection, system or elsewhere which have prevented the form from reaching the validation stage.

1.2 Purpose and Scope

The response message proposed in this document is intended to allow messages to be easily processed by Third Party software, while maintaining a degree of flexibility for changes to message handling in the future.

This document references each Business Validation Rule (BVR) from the 'Quality Standard and Business Validation Specification From April 2011'. The response messages produced may be due to schema validation. In these cases, this is indicated in the Rule No. column.

This document is applicable to both the test and the live service.

There are examples of the response messages in the Appendix at the back of this document.

Note: This is the last year the Response Document will be published in this format with a separate Quality Standard & Business validation Specification document. Next year these will be combined. Furthermore the combined document will be limited to the Internet Channel and the EDI standards will continue to be published as the Message Implementation Guidelines (for PAYE Online for Employers - EDI) as now.

To facilitate this transition, HMRC has published the combined document for tax year 2011-12 alongside the existing documents for use and comparison. Please send your feedback to SDSTeam@hmrc.gsi.gov.uk

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2. EOY 11/12 General Success & Failure Messages

Code	Condition	Message Text
9001	Test In Live	This submission would have been successfully processed if sent under non test conditions
9002	P14 Part Replacement	This submission has replaced the one previously submitted using the same "unique ID"
9003	P14 Part	This P14 submission has been accepted and is awaiting further processing
9004	P35 Part	The EOY Return has been processed and passed full validation
9004	Complete	The EOY Return has been processed and passed full validation
9005	P35 Part Replacement	This EOY Return submission has replaced the one previously submitted using the same Employer Reference and Tax Year.
3000	System error	Your submission failed due to a system error - please check with the helpdesk before re-submitting
3001	Validation errors	Your submission failed due to business validation errors. Please see below for details.
	Recoverable error	This service is unavailable. Please try again later.

Note The 9002 & 9003 messages will appear together when a P14 part is overwritten
Likewise the 9005 & 9004 messages will appear together when a P35 part is overwritten by another P35 part.
Likewise the 9001 test-in-live message will appear with a 9003 or 9004 success message.

3. EOY 11/12 Error Messages

3.1 Generic Business Failure Messages

This section has been incorporated into section 3.3 & 3.4.

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3.2 Generic Schema Validation Messages

Code	Condition	Message Text
5012	The data used is not within the standards set by the schema.	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier. <i>For certain fields, a more specific error message is generated.</i>
5016	An element exists, but it has no entry within it.	Entry required <i>For certain fields, a more specific error message is generated.</i>
6010	Elements that are defined as mandatory in the schema are not present in the submission. and: Elements included in the submission are not defined in the schema. and: Badly-Formed XML	Your submission is not in the correct format - please contact your software supplier and quote Error Code 6010. <i>When a 6010 error is thrown, the error locator will be as per a 5012 error locator.</i>

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3.3 Validation of Signals

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
1	ReturnType	Return Type	1	Must be completed with one of the following Original or Amended, depending on the return.	5012	Invalid return type. Must be original or amended.
			2	Amendment received but no Original (LIVE Only)	5043	An amended EOY Return is only accepted where an original EOY return has been successfully validated.
			3	The return type is original & the filing status is set to filed	5045	You have already filed an original return.
2	SubmissionType	Submission Type	1	Must be completed with one of the following – Complete, P14Part or P35Part depending on the type of submission	5012	Invalid submission type. Submission type must be complete, P14Part or P35Part.
			2	A complete return must include P14's and a P35 or a P35 showing "CIS deductions" suffered as greater than zero and no associated P14s	7500	For an original return, a complete submission must include a P14 and P35 or a P35 showing 'CIS deductions' suffered as greater than zero and no associated P14s.
			3	If submission type = 'complete' then no subtotals are required.	6010	Your submission is not in the correct format - please contact your software supplier and quote Error Code 6010.
			4	If submission type is 'P35Part' no P14(s) should be contained	7501	A P35Part Submission should not include a P14 in it.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			5	If submission type is 'P14Part' no P35 should be contained	7502	A P14Part Submission should not include a P35 in it.
			7	Submission type = Complete & there are Parts awaiting consolidation	5050	You can not file a complete return as part submissions are already held.
			8	Submission type = Complete & parts have been manually released.	5060	Rejected because the previously submitted part submissions have already been manually released.
3	UniqueID (for P14Part message only)	Unique ID	1	Must conform to character set A	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
4a	NumberOfParts (P35Part Internet message only)	Number Of Parts	1	Numeric characters between 001 – 999.	5012	Value must be within 001 – 999.
			2	Submission type is a P35 part but no value entered.	7510	<u>Entry required as submission type is P35Part</u>
			3	Submission type is not a P35 part but value entered	7510	<u>Entry only required if submission type is P35Part.</u>

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			4	P35 Part submitted prior to P14 Part(s) via synchronous interface (TPVS Only)	5041	This number does not agree with the number of P14 parts sent.
4b	NumberOfParts (P35Part EDI message only)	Number Of Parts	1	Numeric characters between 000 - 999. This figure should equal the number of P14Parts making up the overall submission for the year	5012	Value must be within 000 – 999.
			2	For an original return – if the number of parts is zero the “CIS deductions suffered” field must be greater than zero	7550	If the number of parts is zero the ‘CIS deductions suffered’ field must be greater than zero.
62	IRmark (for Internet Submissions only)		1	NOT USED		
63	CompressedPart	CompressedPart	1	Must contain a data-stream <P14>(s) which have been compressed using zip or gzip and base 64 encoded.	7521	Failure to process the compressed file
64	CompressedPart @ Type		1	Must be ‘zip’ or ‘gzip’		

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3.4 Section 2 – Employer Details

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
5	IRheader/Key	IR Office Number	1	Numeric characters in the range 001 – 999	7595	Value must be within 001 – 999.
6	IRheader/Key	IR Office Reference	1	Use character set B	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
			2	1 st character must not be a space	7600	First character must not be a space.
			3	Employer Reference not found	5042	Employer Reference is not valid for this submission
7	IRheader/PeriodEnd	Period End	2	Valid calendar date	5012	This entry is not in a valid format. Please try again and if the problem recurs contact you software supplier.
			1	Must enter a date.	5016	Period End must be entered
			3	The date must be 05/04/2012	7515	Invalid Tax Year.
8	EmployerName	Employer Name	1 & 2	Designated Character Set. First character must not be a space	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.

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3.5 Section 3 – P14 Details

Note in the Error Locator – where the submission is an Amendment, the “P14” in the Error Locator will be replaced with “P14Amended”.

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
14	NINO	P14 NINO: <Sur>: <NINO>: <DOB>	1	The NINO should be in the format - characters 1 & 2 must be alpha . - characters 3 – 8 must be numeric. - character 9 must be alpha in the range A –D or a space.	5012	Entry must be in the format of 2 letters followed by 6 numbers followed by 1 letter in the range A – D or a space.
			2	Characters 1 & 2 must be one of the issued National Insurance Number prefixes.	7540	Invalid NINO. Must be one of the issued National Insurance Number prefixes.
15	DOB	P14 Employee’s date of birth: <Sur>: <NINO>: <DOB>	1	Must be completed if the National Insurance Number is not known.	7520	Entry required as no NINO completed
			2	Must be a valid calendar date in the appropriate format	5012	This entry is not in a valid format. Please try again and if the problem recurs contact your software supplier.
			3	Must be today or earlier.	7520	Must be today or earlier.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
16	Sex	P14 Employee's gender: <Sur>: <NINO>: <DOB>	1	Must be completed if the National Insurance Number is not known.	7525	Entry required as no NINO completed.
			2	Must be Male or Female in the appropriate format.	5012	Must be male or female.
			3	Must be completed where "SMP" field greater than "0".	7525	Entry required must be female as SMP is greater than zero.
	NICs	P14 NI category: <Sur>: <NINO>: <DOB>	4	If "Employees Gender" is Male the "NI Category" must not contain "B", "E", "G", "K", "O", or "T".	7120	Table letter not applicable to Male.
17	WkNo	P14 Employee's Works Number: <WkNo>	1	If present, use character set A	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
18	Sur	P14 Surname: <Sur>: <NINO>: <DOB>	1 & 2	First character must be alpha. Remaining characters must conform to the designated character set.	7590	First character must be a letter.
19	Forename 1	P14 Forename: <Sur>: <NINO>: <DOB>	1	First character must be alpha.	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			2	Remaining characters must conform to character set C.	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
20	Forename 2	P14 Forename: <Sur> <NINO> <DOB>	1	First character must be alpha.	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
			2	Remaining characters must conform to character set C.	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
21	Address	P14 Address: <Sur>:<NINO>: <DOB>	1	If present, first line is mandatory, remaining three lines are optional.	6010	Your submission is not in the correct format - please contact your software supplier and quote Error Code 6010.
				All lines must conform to character set A.	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
22	Postcode	P14 Postcode: <Sur>:<NINO>: <DOB>	1	If present must conform to character set A	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			2	If present, first line of the address is mandatory, remaining three lines are optional.	6010	Your submission is not in the correct format - please contact your software supplier and quote Error Code 6010.
23	Country	P14 Country: <Sur>:<NINO>: <DOB>	1	If present must conform to character set A	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
			2	If present, first line of Employee's address must be present.	6010	Your submission is not in the correct format - please contact your software supplier and quote Error Code 6010.
24	SCON	P14 SCON: <Sur>: <NINO>: <DOB>	1	a) Must be completed for each occurrence of the NI Category letters "F", "G", "H", "K", "S", or "V" present within the submission. Must not be completed where the NI category letter is not one of those listed in 1a) above.	7110	The SCON field must only be completed for a line with a NIC table letter of F, G, H, K, S or V.
						SCON required if category letter shown is F, G, H, K, S or V.
			2	Character 1 must be alpha with a value of "S".	5012	This entry must be in the format of the Letter S 0 or 1 or 2 or 4 or 6 or 8 then 6 numbers followed by a letter.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			3	Character 2 must be one of the following values: "0", "1", "2", "4", "6" or "8".		
			4	Characters 3 – 8 must be numeric.		
			5	Character 9 must be alpha.		
			6	Modulus 19 check.	7110	This is not a valid SCON number. The SCON must have been issued to you by the HMRC NISPI.
25	NICs	P14 NI category: <Sur>: <NINO>: <DOB>	1	There must be between 1 and 4 NIC table letters shown on each P14.	6010	Your submission is not in the correct format - please contact your software supplier and quote Error Code 6010.
			2	Valid table letters are: A,B,C,D,E,F,G,H,J,K,L,N,O,Q,R,S,T,V,W,X and Z	5012	Allowable NIC table letters are A, B, C, D, E, F, G, H, J, K, L, N, O, Q, R, S, T, V, W, X, Z.
26	AtLEL	P14 Earnings at the Lower Earnings Limit (LEL) where earnings reach or exceed the LEL: <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified.
			2	Minimum value of "0" for original returns.		For originals the minimum value is £0.00.
			3	Must be whole pounds.		Entry must be whole pounds.
			Field Length	Must be less than or equal to max value in schema.	5012	This entry is greater than the maximum value. Please check your figures.
			4	Must be "0" when "NI Category" field is "X".	7130	The value must be zero for NIC table letter X.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			5	If "NI Category" is "A", "D", "F", "J", "L", "N", "Q", "R", "S", or "V" the "Earnings at the LEL where earnings reach or exceed the LEL" field must be greater than "0". NB This rule will not apply in aggregation cases.	7130	The entry at LEL must be greater than zero for the following NI table letters A, D, F, H, J, L, N, Q, R, S and V.
27	LELtoPT	P14 Earnings above the LEL up to and including the primary threshold (PT): <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For originals the minimum value is £0.00. Entry must be whole pounds.
			2	Minimum value of "0" for original returns.		
			3	Must be whole pounds.		
			Field Length	Must be less than or equal to max value in schema.	5012	This entry is greater than the maximum value. Please check your figures.
			4	Must be "0" when "NI Category" field is "X".	7140	The value must be zero for NIC table letter X.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			5	Must be greater than "0" when "Earnings above the PT up to and including the Upper Accrual Point (UAP)" is greater than "0" for the following NI Category letters: "A", "D", "E", "F", "G", "H", "J", "K", "L", "N", "O", "Q", "R", "S" or "V". NB This rule will not apply in aggregation cases.	7140	The entry LEL to PT must be greater than zero if the figure in the PT to UAP earnings field is greater than zero.
28a	PTtoUAP	P14 Earnings above the PT up to and including the Upper Accrual Point (UAP) : <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For originals the minimum value is £0.00. Entry must be whole pounds.
			2	Minimum value of "0" for original returns.		
			3	Must be whole pounds.		
			Field Length	Must be less than or equal to max value in schema.	5012	This entry is greater than the maximum value. Please check your figures.
			4	Must be "0" when "NI Category" field is "X".	7150	The value must be zero for NIC table letter X.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			5	<p>Must be greater than “0” when the “Employees Contributions Payable” field is greater than “16p” for the following NI category letters “A”, “D”, “E”, “F”, “G”, “H”, “J”, “K”, “L”, “N”, “O”, “Q”, “R”, “S” or “V”.</p> <p><i>Note: This rule does not apply in aggregation case.</i></p>	7150	Value must be greater than zero if Employees Contributions Payable field is greater than 16p for the following NI Category letters: A, D, E, F, G, H, J, K, L, N, O, Q, R, S, V.
			6	<p>Must be greater than “0” when “Earnings above the UAP up to and including the Upper Earnings Limit (UEL)” is greater than “0” for the following NI Category letters: “A”, “D”, “E”, “F”, “G”, “H”, “J”, “K”, “L”, “N”, “O”, “Q”, “R”, “S”, or “V”.</p> <p><i>Note: This rule does not apply in aggregation case.</i></p>	7150	Value must be greater than 0 when Earnings above the UAP up to and including the Upper Earnings Limit (UEL) field is greater than 0 for the following NI Category letters: A, D, E, F, G, H, J, K, L, N, O, Q, R, S, or V.
28b	UAPtoUEL	P14 Earnings above the UAP up to and including the Upper Earnings	1	Must be a monetary amount.	5012	<p>Entry must be a monetary amount of the length specified.</p> <p>For originals the minimum value is £0.00.</p>
			2	Minimum value of “0” for original returns.		

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
		Limit (UEL) : <Sur>: <NINO>: <DOB>	3	Must be whole pounds		Entry must be whole pounds.
			Field Length	Must be less than or equal to max value in schema.	5012	This entry is greater than the maximum value. Please check your figures.
			4	Must be "0" when "NI Category" field is "X"	7330	The value must be zero for NIC table letter X.
29	Both	P14 Total of employee's and employer's contributions payable: <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry is not in the correct format. Entry must be a monetary amount.
			2	Must be "0" when "NI Category" field is "X".	7170	If NIC table letter is X then this amount must be zero.
			3	For original returns, must be equal to or greater than the "Employees Contributions Payable" field for the following NI Category letters: "A", "B", "J", "R", "T" or "Q".	7170	The amount in this field must be equal to or greater than the amount shown in the Employees Contributions Payable field for the following NIC table letters A, B, J, R, T and Q.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			4	For original returns, must be equal to or greater than "0" for the following NI Category letters: "A", "B", "C", "J", "Q", "R", "T" or "W".	7170	The amount in this field must be equal to or greater than zero for the following NIC table letters A, B, C, J, Q, R, T or W.
30	Emp	P14 Employee's contributions payable: <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For originals the minimum value is £0.00.
			2	For original returns, minimum value of "0"		
			3	Must be "0" for the following NI Category: "C", "W" or "X".	7430	Must be zero for NIC Table letters C, W or X.
			4	For original returns, must be equal to or less than the "Total of Employees and Employers Contributions Payable" field for the following NI Category letters: "A", "B", "J", "R", "T", or "Q".	7430	The entry in this field must be equal to or less than the total contributions field for NIC table letter A, B, J, R, T or Q.
31	SSP	P14 Statutory Sick Pay (SSP): <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For original returns, minimum value of "0".		
32	SMP	P14 Statutory Maternity Pay (SMP) : <Sur>: <NINO>:	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For original returns, minimum value of "0".		

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
		<DOB>	3	Must be "0" when Gender is Male.	7490	Not payable to males.
33	OSPP	P14 Ordinary Statutory Paternity Pay (OSPP): <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For original returns, minimum value of "0".		
33a	ASPP	P14 Additional Statutory Paternity Pay (ASPP): <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For original returns, minimum value of "0".	5012	
34	SAP	P14 Statutory Adoption Pay (SAP): <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For original returns, minimum value of "0".		
35	Start	P14 Date of Starting: <Sur>: <NINO>: <DOB>	1	If present, must contain a valid calendar date.	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
36	EndDate	P14 Date of Leaving: <Sur>: <NINO>: <DOB>	1	If present, must contain a valid calendar date.	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
37	TaxablePay (previous employment)	P14 Pay in previous employment (s): <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For an original return, minimum value of "0".		
			3	Must be equal to or greater than the "Tax deducted in Previous Employment (s)" field.		This amount must be equal to or greater than the Tax deducted in Previous Employment
38	Tax (previous employment)	P14 Tax deducted in previous employment(s) : <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For an original return, minimum value of "0".		
			3	Must be equal to or less than the "Pay in Previous Employment (s)" field.	7190	This amount cannot exceed the pay in previous employment.
39	TaxablePay (this employment)	P14 Pay in this employment: <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For an original return, minimum value of "0".		
			3	Must be equal to or greater than the "Tax deducted in this Employment" field.		This amount must be equal to or greater than the Tax deducted in this Employment
40	Tax (this	P14 Tax deducted in this employment:	1	Must be a monetary amount.	5012	Entry must be a monetary amount.

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BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
	employment)	<Sur>: <NINO>: <DOB>	2	For original returns, must be equal to or less than the "Pay in this Employment" field.	7200	The tax deducted cannot exceed the amount of pay in this employment.
			3	When "tax deducted in this employment" field is negative, the "Tax deducted in previous employment" field must be greater than, or equal to the positive value of "tax deducted in this employment" field.	7200	The refunded tax cannot exceed the tax deducted in previous employment.
41	WidOrph	P14 Widows and Orphans: <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For original returns, minimum value of "0".		
42	StLoan	P14 Student loan deductions in this employment: <Sur>: <NINO>: <DOB>	1	Must be a monetary amount.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00. Entry must be whole pounds.
			2	For original returns, minimum value of "0".		
			3	Must be whole pounds.		
43	Code	P14 Final tax code: <Sur>: <NINO>:	1	Characters in the appropriate format - see appendix 3 – Format of tax codes in the 'Quality Standard and	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
		<DOB>		Business Validation Specification From April 2011.	5016	Tax code must be entered.
			2	Must be completed if "Tax deducted in this employment" is non-zero.	7545	Tax code must be entered.
44	Code 'W1M1Ind'	P14 Week 1 Month 1 Indicator:<Sur>: <NINO>: <DOB>	1	If present, designated character set.	5012	Can only contain week or month.
46	SVR Indicator	SVR		Inclusion of this item will cause failure.	6010	No entry should be made in this field.
47	Week53Indica tor	P14 Payment in week 53 indicator:<Sur>: <NINO>: <DOB>	1 & 2	If present, numeric entry in the appropriate format.	5012	Can only contain 53, 54, 56.

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ChRIS Response Messages

3.6 Sub Totals for P14 Part Submissions

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
48	PartTotals/P14Count	P14 Count	1	Numeric characters in the correct format.	5012	This entry must be numeric.
		Total number of P14s submitted	2	Must equal the number of employee P14's included in this unique id.	7300	This number does not agree with the number of P14s sent.
				Must be greater than zero	7300	Must be greater than zero.
49	TotalContributions	Total of employee's and employer's contributions	1	Must be a monetary amount.	5012	Entry must be a monetary amount.
			2	Must be the total of all the employee's and employer's contributions included within the unique id.	7160	This figure does not equal the sum of 'Total employee's and employer's contributions payable' from the P14s contained within this unique ID.
50	TotalTax	Total Tax	1	Must be a monetary amount.	5012	Entry must be a monetary amount.
		Total of tax in this employment	2	Must be the total of all the tax in this employment included within this unique id.	7180	This figure does not equal the sum of 'Tax deducted in this employment' from the P14s contained within this unique ID.
51	TotalSSP	Total of SSP	1	Must be a monetary amount.	5012	Entry must be a monetary amount.
			2	Must be the total of all the SSP included within this unique id.	7210	This figure does not equal the total of SSP contained within this unique ID.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
53	TotalSMP	Total of SMP	1	Must be a monetary amount.	5012	Entry must be a monetary amount.
			2	Must be the total of all the SMP within this unique id.	7230	This figure does not equal the total of SMP contained within this unique ID.
54	TotalOSPP	Total of OSPP	1	Must be a monetary amount.	5012	Entry must be a monetary amount.
			2	Must be the total of all OSPP within this unique id.	7280	This figure does not equal the total of OSPP contained within this unique ID.
54a	TotalASPP	Total of ASPP	1	Must be a monetary amount.	5012	Entry must be a monetary amount.
			2	Must be the total of all ASPP within this unique id.	7285	This figure does not equal the total of ASPP contained within this unique ID.
55	TotalSAP	Total of SAP	1	Must be a monetary amount.	5012	Entry must be a monetary amount.
			2	Must be the total of all SAP within this unique id.	7310	This figure does not equal the total of SAP contained within this unique ID.
56	TotalStudentLoanDeductions	Total of student loan deductions	1	Must be a monetary amount.	5012	Entry must be a monetary amount.
			2	Must be the total of all the student loan deductions within this unique id.	7330	This figure does not equal the total of student loan deductions contained within this unique ID.
			3	Must be in whole pounds	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.

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ChRIS Response Messages

3.6.1 P14 Record Count for Complete Submission Only

Field No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
58	P14Count (Record Count for complete Internet submissions only)	P14 Count	Field Length	Must not exceed 7 characters in length	7530	Must not be greater than 7 numerics in length.
			1	Must equal the number of P14's contained within the complete submission	7530	The total does not equal the number of P14s included in this complete submission.
			2	For original returns – if the P14 record count is zero the “CIS deductions suffered” field must be greater than zero.	5012	This entry must be numeric
					7585	For an Original return, if the P14 record count is zero the ‘CIS deductions suffered’ field must be greater than zero.

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ChRIS Response Messages

3.7 Section 4 – P35 Details

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
101	CompletedEndOfYearSummary	Q1 – End of year summary	1	This must be answered “yes” or “no” in the appropriate format.	5012	Only 'yes' or 'no' are allowed.
102	FreeOfTaxPayments	Q2 - Free of tax payments	1	This must be answered “yes” or “no” in the appropriate format.	5012	Only 'yes' or 'no' are allowed.
103	ExpensesOrBenefits	Q3 – Expenses or benefits	1	This must be answered “yes” or “no” in the appropriate format.	5012	Only 'yes' or 'no' are allowed.
104	EmployeesOutOfUK	Q4 – Employees out of UK	1	This must be answered “yes” or “no” in the appropriate format.	5012	Only 'yes' or 'no' are allowed.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
105	EmployeesOutOfUK IsIncluded	Q4 part 2 – Employees out of UK	1	This must be answered “yes” or “no” in the appropriate format.	5012	Only 'yes' or 'no' are allowed.
			2	If Q4 – Employees out of UK is answered “yes” then this field must be completed.	7250	If previous question ‘yes’ this field must be completed.
			3	If Q4 – Employees out of UK is answered “no” then this field must not be completed.	7250	If you have answered ‘no’ to the previous question, then this field must be left blank.
			4	If you have answered “yes” to having included the payments on the employee’s P14, then at least one P14 must be submitted.	7250	If you have answered ‘yes’ to having included the payments on the employee's P14, then at least one P14 must be submitted.
106	EmployeesPayToThirdParty	Q5 – Employees pay to third party	1	This must be answered “yes” or “no” in the appropriate format.	5012	Only 'yes' or 'no' are allowed.
	EmployeesPayToThirdParty IsIncluded	Q5 part 2 - Employees pay to third party	2	If Q5 - Employees pay to third party is answered “yes” then this field must be completed	7260	_____
107	EmployeesPayTo	Q5 part 2 – Employees	1	This must be answered “yes” or “no” in the appropriate format.	5012	Only 'yes' or 'no' are allowed.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
	oThirdPartyIsIncluded	pay to third party	2	If Q5 - Employees pay to third party is answered "yes" then this field must be completed	7260	If previous answer is 'yes' this field must be completed.
			3	If Q5 - Employees pay to third party is answered "no" then this field must not be completed	7260	If you have answered 'no' to the previous question, then this field must be left blank.
			4	If you have answered "yes" to the question, then at least one P14 must be submitted.	7260	If you have answered 'yes' to the question, then at least one P14 must be submitted.
108	ServicePayments	Q6 – Service Payments	1	This must be answered "yes" or "no" in the appropriate format.	5012	Only 'yes' or 'no' are allowed.
109	ServicePaymentsIsIncluded	Q6 part 2 – Service payments	1	This must be answered "yes" or "no" in the appropriate format.	5012	Only 'yes' or 'no' are allowed.
			2	If Q6 – Service Payments is answered "yes" then this field must be completed.	7270	If previous question is 'yes' this field must be completed.
			3	If Q6 – Service Payments is answered "no" then this field must not be completed.	7270	If you have answered 'no' to the previous question then this field must be left blank.
			4	If you have answered "yes" to the question, then at least one P14 must be submitted.	7270	If you have answered 'yes' to the question, then at least one P14 must be submitted.
110	P14Declaration	P14 Declaration	1	Must be answered "yes" in the appropriate format.	5012	Only 'yes' is allowed.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
111	P38Adeclaration	P38A Declaration	1	Must be answered: "are due" or "are not due" in the appropriate format.	5012	Entry must be either 'are due' or 'are not due'.
			2	If you have answered "no" to Q1 then entry can only be "are due".	7290	If you have answered 'no' to Q1 then the P38A entry must be 'are due'.
			3	If you have answered "yes" to Q1 then entry can only be "are not due"	7620	If you have answered 'yes' to Q1 then P38A entry must be 'are not due'.
112	P11Ddeclaration	P11D Declaration	1	Entry must be either "are due" or "are not due" in the appropriate format.	5012	Entry must be either 'are due' or 'are not due'.
13	ECON	ECON	1	Character 1 must be alpha – "E".	5012	This entry must be in the format of the letter E followed by 7 numbers in the range 3000000 - 3999999 followed by a letter.
			2	Characters 2 - 8 must be numeric in the range 3000000 – 3999999.		
			3	Character 9 must be Alpha		
			4	For original submissions only – must be completed when the "NI Category" field contains one of the following characters: "D", "E", "F", "G", "H", "K", "L", "N", "O", "S" or "V".	7100	ECON required if category letter shown is D, E, F, G, H, K, L, N, O, S, V.
			5	Modulus 19 check.	7100	This is not a valid ECON number. The ECON must have been issued to you by the HMRC NISPI.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
61	CessationDate (P35 part or complete message only)	Cessation Date	1	If present, must contain a valid calendar date in the appropriate format.	5012	This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
113	TotalNIC	Total NIC	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.
			2	Must be the total of all the "Total of Employees and Employers Contributions Payable" fields on the submission being.	7320	This figure does not equal the sum of 'Total employee's and employer's contributions payable' from the P14s.
114	P14Tax	Total tax from P14s	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.
			2	Must be the total of all the "Tax Deducted in this Employment" fields on the submission being made.	7420	This figure does not equal the sum of 'Tax deducted in this employment' from the P14s.
115	TaxAdvance	Advance received from HMRC to refund tax	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
117	TotalTax	Total Tax	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.
			2	Must be the total of all the "Tax Deducted in this Employment" fields on the submission being made plus the "Advance Received from HMRC to Refund Tax" field.	7440	This figure does not equal the sum of 'Total tax deducted in this employment' from the P14s and 'Received from HMRC to refund Tax'.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text	
118	TotalTaxAndNIC	Total tax and NIC	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.	
			2	Must be the total of the "Total tax" field plus the "Total NIC" for the Submission being made.	7370	This figure does not equal the sum of 'Total NICs' and 'Total Tax' from the P35.	
119	StudentLoan	Total student loan deductions	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.	
			2	For original returns, minimum value of "0".			
			3	Must be the total of all the "Student Loan Deductions in This Employment" fields on the submission being made.	7380		This figure does not equal the sum of 'Student loan deductions' from the P14s.
			4	Must be in whole pounds	5012		This field contains an invalid character. Please try again and if the problem recurs contact your software supplier.
120	TotalNICsTaxAndStudentLoan	Total NIC/Tax and student loan	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.	
			2	Must be the total of the "Total tax & NIC" filed plus the "Total Student Loan Deductions" field for the Submission being made.	7535	Must equal the total of 'total NICs/Tax' plus 'total student loan'.	
121	SSP	SSP recovered	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.	
			2	For original returns, minimum value of "0".			

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			3	Must be equal to or less than the total of the "Statutory Sick Pay" associated with this return.	7335	This figure: <total_ssp_recovered>, must be equal to or less than the total of the 'statutory sick pay' associated with this return.
122	SMP	SMP recovered	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For original returns, minimum value of "0".		
			3	Must be equal to or less than the total of the "Statutory Maternity Pay" associated with this return.	7340	This figure: <total_smp_recovered>, must be equal to or less than the total of the 'statutory maternity pay' associated with this return.
123	SMPCompensation	NIC compensation on SMP	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	For original returns, minimum value of "0".		
			3	Cannot be greater than the "SMP Recovered" field.	7360	Invalid entry. Cannot be greater than SMP recovered field.
124	OSPP	OSPP recovered	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
			3	Must be equal to or less than the total of the "Ordinary Statutory Paternity Pay" fields associated with this return.	7575	This figure: <total_ospp_recovered> must be equal to or less than the total of the 'ordinary statutory paternity pay' associated with this return.
125	OSPPcompensation	NIC compensation	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
	ion	on OSPP	2	Minimum value of "0".		
			3	Cannot be greater than the "OSPP Recovered" field.	7400	Invalid entry. Entry cannot be greater than OSPP recovered field.
125a	ASPP	ASPP recovered	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
			3	Must be equal to or less than the total of the "Additional Statutory Paternity Pay" fields associated with this return.	7576	
125b	ASPPcompensation	NIC compensation on ASPP	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
			3	Cannot be greater than the "ASPP Recovered" field.	7401	
126	SAP	SAP recovered	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
			3	Must be equal to or less than the total of the "Statutory Adoption Pay" fields associated with this return.	7410	

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
127	SAPcompensation	NIC compensation on SAP	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
			3	Cannot be greater than the "SAP Recovered" field.	7580	
129	SSPSMPOSPP ASPPandSAPfunding	Funding received from HMRC to pay	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
130	NetStatutory	Net statutory payments	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
	PaymentsRecovered	recovered	2	Entry must be the total of :- SSP Recovered SMP Recovered NIC Compensation on SMP OSPP Recovered NIC Compensation on OSPP ASPP Recovered NIC Compensation on ASPP SAP Recovered NIC Compensation on SAP Less The "Funding Received from HMRC to Pay SSP/SMP/OSPP/ASPP/SAP".	7435	This figure does not equal the sum of total SSP recovered, total SMP recovered, total OSPP recovered, total ASPP recovered total SAP recovered, total SMP compensation, total OSPP compensation, total ASPP compensation and total SAP compensation from the P14s, minus funding received from HMRC to pay SSP/SMP/OSPP/ASPP/SAP.
134	CombinedLessStatutoryRecovered	Combined Amounts LESS NET Statutory Payment Recovered	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.
			2	Must be equal to the "Total NICS/Tax and Student Loan" minus the "Net Statutory Payments Recovered".	7465	Must equal the sum of 'Total NICs/Tax and Student loan deductions' minus the 'Net Statutory Payments Recovered'.
116	SubcontractorTa	Deductions made from	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
	x	subcontractors	2	Minimum value of "0".		
135	TotalPayable	Amount payable for the year	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.
			2	Must be equal to the "Combined Amounts LESS NET Statutory Payment Recovered" field plus the "Deductions made from subcontractors" field.	7470	Must equal the sum of 'Combined Amounts LESS NET Statutory Payment Recovered' plus 'Deductions made from subcontractors'.
136	TotalPaid	NIC/Tax paid already	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
137	IncentivePayment	Incentive Payment	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
			3	Cannot be greater than £825.00.	7475	Cannot be greater than 825.00.
138	TotalRemaining ToPay	Now payable	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.

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ChRIS Response Messages

BVR No.	Element	Error Locator	Rule No.	Validation	Code	Message Text
			2	Must be equal to the "Amount Payable for the Year" field less the NIC / Tax Paid Already" field.	7480	The 'Now Payable' value {1} must be equal to the 'Amount Payable for the Year' field less the 'NIC/Tax Paid Already' field less the 'Incentive Payment', which is calculated to be: {2}.
139	CISdeductions	CIS deductions suffered	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount of the length specified. For Originals the minimum value is £0.00.
			2	Minimum value of "0".		
140	TotalAfterCISdeductions	Revised amount now payable	1	Numeric characters in the appropriate format.	5012	Entry must be a monetary amount.
			2	Must be equal to the "Now Payable" field less the "CIS Deductions Suffered" field.	7570	The 'Revised amount now Payable' value: <revised total to pay after CIS deductions> must be equal to the 'Now Payable' field less the 'CIS Deductions Suffered' field, which is calculated to be: <calculated_revised_total_to_pay_after_CIS_deductions>.

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ChRIS Response Messages

APPENDICES

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ChRIS Response Messages Appendix A: Example XML Response Messages – Internet Channel

1. Successful Complete Submission

```
<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>response</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails>
      <IDAuthentication>
        <SenderID>ISV127</SenderID>
      </IDAuthentication>
      <EmailAddress></EmailAddress>
    </SenderDetails>
  </Header>
  <GovTalkDetails>
    <Keys/>
  </GovTalkDetails>
  <Body>
    <SuccessResponse xmlns="http://www.inlandrevenue.gov.uk/SuccessResponse">
      <Message code="9004">The EOY Return has been processed and passed full validation</Message>
    </SuccessResponse>
  </Body>
</GovTalkMessage>
```

2. Successful P14 Part Submission

```
<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>response</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails>
      <IDAuthentication>
        <SenderID>ISV127</SenderID>
      </IDAuthentication>
      <EmailAddress></EmailAddress>
    </SenderDetails>
  </Header>
  <GovTalkDetails>
    <Keys/>
  </GovTalkDetails>
  <Body>
    <SuccessResponse xmlns="http://www.inlandrevenue.gov.uk/SuccessResponse">
      <Message code="9003">This P14 submission has been accepted and is awaiting further
processing</Message>
```

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ChRIS Response Messages

```
</SuccessResponse>
</Body>
</GovTalkMessage>
```

3. Successful P35 Part Submission

```
<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-
v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>response</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails>
      <IDAuthentication>
        <SenderID>ISV127</SenderID>
      </IDAuthentication>
      <EmailAddress></EmailAddress>
    </SenderDetails>
  </Header>
  <GovTalkDetails>
    <Keys/>
  </GovTalkDetails>
  <Body>
    <SuccessResponse xmlns="http://www.inlandrevenue.gov.uk/SuccessResponse">
      <Message code="9004">The EOY Return has been processed and passed full validation</Message>
    </SuccessResponse>
  </Body>
</GovTalkMessage>
```

4. Successful Test-in-Live submission

```
<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-
v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>response</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails>
      <IDAuthentication>
        <SenderID>ISV127</SenderID>
      </IDAuthentication>
      <EmailAddress></EmailAddress>
    </SenderDetails>
  </Header>
  <GovTalkDetails>
    <Keys/>
  </GovTalkDetails>
  <Body>
    <SuccessResponse xmlns="http://www.inlandrevenue.gov.uk/SuccessResponse" TestInLive="1">
```

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ChRIS Response Messages

```

    <Message code="9001">This submission would have been successfully processed if sent under non
test conditions</Message>
    <Message code="9004">The EOY Return has been processed and passed full validation</Message>
  </SuccessResponse>
</Body>
</GovTalkMessage>

```

5. Successful P14 Part Replacement Submission

```

<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-
v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>response</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails>
      <IDAuthentication>
        <SenderID>ISV127</SenderID>
      </IDAuthentication>
      <EmailAddress></EmailAddress>
    </SenderDetails>
  </Header>
  <GovTalkDetails>
    <Keys/>
  </GovTalkDetails>
  <Body>
    <SuccessResponse xmlns="http://www.inlandrevenue.gov.uk/SuccessResponse">
      <Message code="9002">This submission has replaced the one previously submitted using the same
"unique ID"</Message>
      <Message code="9003">This P14 submission has been accepted and is awaiting further
processing</Message>
    </SuccessResponse>
  </Body>
</GovTalkMessage>

```

6. Unsuccessful Filing – QS2 Failure

```

<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-
v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>error</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails/>
  </Header>
  <GovTalkDetails>
    <Keys/>
    <GovTalkErrors>
      <Error>
        <RaisedBy>CHRIS</RaisedBy>
      </Error>
    </GovTalkErrors>
  </GovTalkDetails>

```

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ChRIS Response Messages

```

<Number>3001</Number>
<Type>business</Type>
<Text>Your submission failed due to business validation errors. Please see below for
details.</Text>
  </Error>
</GovTalkErrors>
</GovTalkDetails>
<Body>
  <ErrorResponse xmlns="http://www.govtalk.gov.uk/CM/errorresponse"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.govtalk.gov.uk/CM/errorresponse http://www.govtalk.gov.uk/schemas/CM/errorresponse-
v1.xsd">
    <Application>
      <MessageCount>1</MessageCount>
    </Application>
    <Error>
      <RaisedBy>CHRIS</RaisedBy>
      <Number>5012</Number>
      <Type>schema-validation</Type>
      <Text>Only 'yes' is allowed</Text>
      <Location>P14 Declaration</Location>
    </Error>
  </ErrorResponse>
</Body>
</GovTalkMessage>

```

7. Unsuccessful Filing – QS3 Failure

```

<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-
v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>error</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails/>
  </Header>
  <GovTalkDetails>
    <Keys/>
    <GovTalkErrors>
      <Error>
        <RaisedBy>CHRIS</RaisedBy>
        <Number>3001</Number>
        <Type>business</Type>
        <Text>Your submission failed due to business validation errors. Please see below for
details.</Text>
      </Error>
    </GovTalkErrors>
  </GovTalkDetails>
  <Body>
    <ErrorResponse xmlns="http://www.govtalk.gov.uk/CM/errorresponse"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.govtalk.gov.uk/CM/errorresponse http://www.govtalk.gov.uk/schemas/CM/errorresponse-
v1.xsd">
      <Application>
        <MessageCount>2</MessageCount>
      </Application>
      <Error>

```

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ChRIS Response Messages

```

    <RaisedBy>CHRIS</RaisedBy>
    <Number>7320</Number>
    <Type>business-rule</Type>
    <Text>This figure does not equal the sum of 'Total employee's and employer's
contributions payable' from the P14s that do not have a category letter of P.</Text>
    <Location>Total NIC</Location>
  </Error>
</Error>
    <RaisedBy>CHRIS</RaisedBy>
    <Number>7370</Number>
    <Type>business-rule</Type>
    <Text>This figure does not equal the sum of 'Total NICs' and 'Total Tax' from the P35.</Text>
    <Location>Total tax and NIC</Location>
  </Error>
</ErrorResponse>
</Body>
</GovTalkMessage>

```

8. Unsuccessful Filing – Known Facts Error

```

<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-
v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>error</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails/>
  </Header>
  <GovTalkDetails>
    <Keys/>
    <GovTalkErrors>
      <Error>
        <RaisedBy>CHRIS</RaisedBy>
        <Number>3001</Number>
        <Type>business</Type>
        <Text>Your submission failed due to business validation errors. Please see below for
details.</Text>
      </Error>
    </GovTalkErrors>
  </GovTalkDetails>
  <Body>
    <ErrorResponse xmlns="http://www.govtalk.gov.uk/CM/errorresponse"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.govtalk.gov.uk/CM/errorresponse http://www.govtalk.gov.uk/schemas/CM/errorresponse-
v1.xsd">
      <Application>
        <MessageCount>1</MessageCount>
      </Application>
      <Error>
        <RaisedBy>CHRIS</RaisedBy>
        <Number>5042</Number>
        <Type>business-rule</Type>
        <Text>Employer Reference is not valid for this submission</Text>
        <Location>IR Office Reference</Location>
      </Error>
    </ErrorResponse>
  </Body>

```

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ChRIS Response Messages

</GovTalkMessage>

9. Unsuccessful Filing – Recoverable Error

```
<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-
v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>Unknown</Class>
      <Qualifier>error</Qualifier>
      <Function>submit</Function>
      <CorrelationID></CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails/>
  </Header>
  <GovTalkDetails>
    <Keys/>
    <GovTalkErrors>
      <Error>
        <RaisedBy>CHRIS</RaisedBy>
        <Type>recoverable</Type>
        <Text>This service is unavailable. Please try again later.</Text>
      </Error>
    </GovTalkErrors>
  </GovTalkDetails>
</GovTalkMessage>
```

10. Unsuccessful Filing – Fatal Error

```
<GovTalkMessage xmlns="http://www.govtalk.gov.uk/CM/envelope" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance" xsi:schemaLocation="http://www.govtalk.gov.uk/CM/envelope http://www.govtalk.gov.uk/schemas/CM/envelope-
v2.xsd">
  <EnvelopeVersion>2.0</EnvelopeVersion>
  <Header>
    <MessageDetails>
      <Class>IR-PAYE-EOY</Class>
      <Qualifier>error</Qualifier>
      <Function>submit</Function>
      <CorrelationID>FBI05.CONSO01_16_3</CorrelationID>
      <GatewayTimestamp>2005-04-06T11:13:54.100</GatewayTimestamp>
    </MessageDetails>
    <SenderDetails/>
  </Header>
  <GovTalkDetails>
    <Keys/>
    <GovTalkErrors>
      <Error>
        <RaisedBy>CHRIS</RaisedBy>
        <Number>3000</Number>
        <Type>fatal</Type>
        <Text>Your submission failed due to a system error - please check with the helpdesk before
re-submitting</Text>
      </Error>
    </GovTalkErrors>
  </GovTalkDetails>
</GovTalkMessage>
```

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NOT PROTECTIVELY MARKED**ChRIS Response Messages
Appendix B: Changes to 2011-2012 Response Message Document****General**

Tax Year amended to reflect correct Tax Year throughout the document.

All references to ERIC replaced by ChRIS because this service has been migrated to the Channel Routing & Integration Service.

BVRs for Monetary values: Many of these share the same rules & these now have new response text: -
Must be a monetary amount - new text 'Must be a monetary amount of the length specified.'
For originals the minimum value is £0.00 - new text 'For originals the minimum value is £0.00'.
Must be whole pounds - new text 'Must be in whole pounds'

[Purpose and Scope](#)

Note added about the impending demise of this document in its current format.

Section 2

[EOY 10/1111/12 General Success & Failure Messages](#)

General failure messages added to renamed table.

Notes added to explain how these general messages can appear together.

[Generic Business Failure Messages](#)

Error Generic business failure messages table removed. These errors now appear in sections 3.2 & 3.3.

[Validation of Signals](#)

BVR 1 – Return type

Rule 2 added – Amendment received but no Original (LIVE Only)

Rule 3 added – The return type is original & the filing status is set to filed (LIVE only)

BVR 2 – Submission type

Rule 7 added – Submission type = 'complete' & there are Parts awaiting consolidation

Rule 8 added – Submission type = 'complete' & parts have been manually released (LIVE only).

BVR 4a – Number of parts

Rule 2 added – Submission type is a P35 part but no value entered

Rule 3 added – Submission type is not a P35 part but value entered

Rule 4 added – P35 Part submitted prior to P14 Part(s) via synchronous interface (This rule only applies in the Third Party Validation Service)

[Section 2 – Employer Details](#)

BVR 6 – Employer PAYE reference

Rule 3 added – Employer Reference not found (LIVE Only)

BVR 7 – Tax Year

Rule 1 added to align with response document

Rule 1 & 2 renumbered as 2 & 3.

Rule 3: The date has changed from 5 April 2011 to 5 April 2012.

[Section 3 – P14 Details](#)

BVR 14 – National Insurance Number

Rule 1 split into 2 rules to align with Response document.

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NOT PROTECTIVELY MARKED**ChRIS Response Messages**

BVR 19 – Employee’s forename or initial
Rule 3 removed as it is redundant.

BVR 20 – Employee’s second forename
Rule 3 removed as it is redundant.

BVR 24 – SCON
Rule 6 text now reads “This is not a valid SCON number. The SCON must have been issued to you by the HMRC NISPI.”

All references to Earnings Threshold or ‘ET’ replaces by Primary Threshold or ‘PT’ respectively. This affects BVRs 27 & 28a,
BVR28a Rule 5 removed & subsequent rules renumbered.

BVR 29 – Total of Employee’s & Employer’s contributions payable
Rule 5 removed as it is redundant.

All references to Statutory Paternity Pay or ‘SPP’ replaced by Ordinary Statutory Paternity Pay or OSPP respectively. This affects BVRs 33, 54, 124, 125, 129 & 130.
BVR33a added for additional SSP.

BVR37 rule 3 response is now “This amount must be equal to or greater than the Tax deducted in Previous Employment”.

BVR39 rule 3 response is now “This amount must be equal to or greater than the Tax deducted in this Employment”.

BVR 40 - Tax deducted in this Employment.
Rule 2 removed as it is redundant, rules 3 & 4 renumbered.

BVR43 refers to ‘appendix 3’ & this is now qualified as being in the associated QS BVS document.

[Sub Totals for P14 Part Submissions](#)

All references to Statutory Paternity Pay or ‘SPP’ replaced by Ordinary Statutory Paternity Pay or OSPP respectively. This affects BVRs 33, 54, 124, 125, 129 & 130.
BVR54a added for total additional SSP.

[Section 4 – P35 Details](#)

BVR 104 – Q4 - Employees out of UK
Rule 2 re-phrased & moved to rule 2 & 3 of the next of rule to match the error location. Rule 2 of next rule renumbered to rule 4.

BVR 106 – Q5 - Employees pay to third party
Rule 2 re-phrased & moved to rule 2 & 3 of the next of rule to match the error location.
Rule 2 of next rule renumbered to rule 4.

BVR 108 – Q6 - Service payments
Rule 2 re-phrased & moved to rule 2 & 3 of the next of rule to match the error location.

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NOT PROTECTIVELY MARKED**ChRIS Response Messages**

Rule 2 of next rule renumbered to rule 4.

BVR 13 ECON

Rule 4. The 1st response “An ECON can only be present when any one of the NIC table letters D, E, F, G, H, K, L, N, O, S or V are included within this scheme.” has been removed as this validation is not performed.

Rule 6 text now reads “This is not a valid ECON number. TheECON must have been issued to you by the HMRC NISPI.”

All references to Statutory Paternity Pay or ‘SPP’ replaced by Ordinary Statutory Paternity Pay or OSPP respectively. This affects BVRs 33, 54, 124, 125, 129 & 130.

BVR125a added for ASPP recovered.

BVR125b added for NIC compensation on ASPP.

BVR129 changed for OSPP & ASPP.

BVR130 changed for OSPP & ASPP.

Appendix A: All references to <RaisedBy>IR-GGIS</RaisedBy> replaced by <RaisedBy>CHRIS</RaisedBy>.

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ChRIS Response Messages

Appendix C: Document Control

Version History

<i>Version</i>	<i>Date</i>	<i>Comments</i>
0.1	20/07/10	Initial draft for 2011/12 based on ERIC version
1.0	30/07/2010	Baselined
1.1	14/09/2010	Corrected two error codes;- BVR125a#3 ASPP recovered changed to 7576 from 7575 BVR125b#3 ASPP NIC compensation changed to 7401 from 7400

Document Distribution

<i>Name</i>	<i>Location</i>	<i>Responsibility</i>	<i>Action / Information</i>
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Susan Rose	Telford	Aspire Test	Action
Andy Leckenby	Telford	Aspire ISIT	Action
Matt Smith	Telford	Aspire Operational Testing	Information

Document Reviewed By

<i>Name</i>	<i>Location</i>	<i>Responsibility</i>
Greg Smith	ShIPLEY	HMRC SDS Team
Arry Balachandran	Telford	Aspire Development
Susan Rose	Telford	Aspire Test
Andy Leckenby	Telford	Aspire ISIT

Source File Location

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ChRIS Response Messages

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