



**Pension Schemes Online**

**Register New Scheme for Tax Relief April 2007**

**Response Messages For e-Submission**



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18. 3. Scheme Name	10
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20. 5. Other	10
21. 6. Policy or Contract number	11
22. 7. How many members of the scheme are there likely to be in the year ending 5 April?	11
23. 8. Is the scheme an investment regulated pension scheme?	11
24. 9. Do all the investments held by the scheme compromise contracts or policies of insurance?	11
25. 10. In what country or territory is the scheme established?	11
26. 11. Other	12
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28. 13. Title	12
29. 14. Forename(s)	12
30. 15. Surame	12
31. 16. Organisation Name	12
32. 17. Address	12
33. 18. UK Postcode	13
34. 19. Country	13
35. 20. This scheme meets all the criteria to be registered as a pension scheme under Finance Act 2004	13
36. 21. To the best of my knowledge and belief, the information given in this application to register the pension scheme for the purposes of tax relief is correct and complete	13
37. 22. I understand that as Scheme Administrator I am responsible for discharging the functions conferred or imposed on the Administrator of the pension scheme by Finance Act 2004, and I intend to discharge those functions at all times, whether resident in the United Kingdom or another EU member state or a non-EU member EEA state	13
38. 23. False statement declaration	13
39. 24. The instruments or agreements by which this pension is constituted do not entitle any person to unauthorised payments	13
40. 25. Title	14
41. 26. Forename(s)	14

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44. 29. Address	14
45. 30. UK Postcode	14
46. 31. Country	14
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## 1. Document Control

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### Document History

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0.1	15/09/2005	First Draft
0.2	10/10/2006	Amendment to Body Response Message 9
0.3	27/10/2006	Amendment to Success Response Messages (Section 6) and inclusion of Joint Registration messages (Section 7)
1.0	01/11/2006	Baselined
1.1	25/06/2008	Amended to remove reference in Q6 to "made on or after 6 <sup>th</sup> April 2006 (CR26)
1.2	03/07/2008	Amendment to distribution list

### Associated Documentation

Version	Form Version	Form Name
0.1		Pension Scheme Registration April 2007 BVR
1.0		Pension Scheme Registration form Business
		Validation rules
2.2		Pension Reg v0-*.xsd

### Approval

By	Signature	Date
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### Changes since last version

See document history

### Changes Forecast

## 2. About this document

**Section 3** outlines the rules that govern the contents of the GovTalkHeader.

**Section 4** outlines the rules that govern the contents of the envelope schema. Business validation rules are defined in a separate set of rules for each message. This also contains both the validation and business rules, which will form part of the validation checks that will need to be undertaken by both the HMRC 'e' portal or that developed by third party software suppliers.

**Section 5** covers the body response messages. On submission the message is tested against the XML schema by the Parser. XML that successfully passes through the Parser will then be tested against the GovRules, which test the cross-field validation.

This section identifies every element in the business validation rules. In all cases a Parser error will be generated if the submission fails at Parser level. If there is no specific cross-field validation for an element it is simply noted 'Parser error'. Where there is a cross-field validation the error and error message only is detailed.

A bold black line separates each data item table and the error messages contained below this line are state validation.

HMRC will use the following default values within the error structure when Parser errors are located.

Element	Description and Value
RaisedBy	HMRC-ERIC
Number	5012 – all errors caused by schema validation 6010 – all errors from badly-formed XML
Type	business
Text	Default text from Parser
Location	Line and position number from the Parser

**Section 6** outlines the Success Response Messages.

### 3. ChRIS Response Messages

This section outlines the rules that govern the contents of the GovTalkHeader.

<b>Correlation ID</b>	
Error Number	
Error	A duplicate correlation ID has been submitted in TPVS.
Error Message	You have submitted a duplicate Correlation ID. Please either enter a new unique number or delete the element from your submission. In a live submission this field must be empty.
Error Number	
Error	Null correlation ID.
Error Message	[ChRIS 1] System error <error number> please contact the helpdesk.

<b>Message class</b>	
Error Number	
Error	The class sent does not match the URL.
Error Response Message	You have sent message class <Class> when the URL requires <Class>. Either make your submission to the correct URL or amend the class'.

**4. IRENvelope Response Messages**

This section outlines the rules that govern the contents of the envelope schema.

Each submission must contain at least one only 1 occurrence of Pension Scheme Tax Reference, but may contain multiple occurrences of Scheme Administrators ID.

**IRheader**

<b>1. Test Message</b>	
Error	Parser level
<b>2. Key</b>	
Error Number	701007
Error	The Scheme Administrator ID in the body differs from that in the header.
Error Message	The Scheme Administrator ID of the submitter does not match the Scheme Administrator ID supplied.
<b>3. Period end</b>	
Error	Parser level
<b>4. Principal</b>	
Error	Parser level
<b>5. Agent</b>	
Error	Parser level
<b>6. Default currency</b>	
Error	Parser level

**Manifest**

<b>7. Namespace</b>	
Error Number	1031
Error	The entry in the Namespace does not agree with the entry in the GovTalkHeader.
Error Message	[The namespace in the IRheader must match the GovTalkHeader] System error please contact the help desk.

<b>8. Schema version</b>	
Error	Parser level

<b>9. Top element name</b>	
Error	Parser level

<b>10. IRmark</b>	
Error	Parser level

<b>11. Sender</b>	
Error	Parser level

**PS Envelope**

<b>12. Pension Scheme Tax Reference</b>	
Error	Parser level

<b>13. PS Body</b>	
Error	Parser level

## 5. Body Response Messages

Do you want to:

### 1. Make a declaration that you are a Scheme Administrator for a deferred annuity contract made on or after 6 April 2006?

Error	Parse level
-------	-------------

### 2. Register as a stakeholder pension scheme?

Error	Parse level
-------	-------------

### 3. Scheme Name

Error	Parse level
-------	-------------

Select the type of legal structure the scheme has

### 4. Type of Legal Structure?

Error	Parse level
-------	-------------

### 5. Other

Error	Parse level
-------	-------------

<b>6. Policy or Contract number</b>	
Error Number	600136
Error	'Contract or policy number' Absent when 'Deferred Annuity Contract' is yes.
Error Message	[Pension Scheme Registration 6] You have indicated that you wish to make a declaration that you are a Scheme Administrator for a deferred annuity contract, please enter Contract or Policy Number.
Error Number	600125
Error	'Contract or policy number' Absent when Legal structure is 'An annuity contract'.
Error Message	[Pension Scheme Registration 6] 'You have indicated that the legal structure of the Pension Scheme is annuity contract please enter Contract or Policy Number'.

<b>7. How many members of the scheme are there likely to be in the year ending 5 April?</b>	
Error	Parse level

<b>8. Is the scheme an investment regulated pension scheme?</b>	
Error	Parse level

<b>9. Do all the investments held by the scheme comprise contracts or policies of insurance?</b>	
Error Number	
Error	'Do all the investments held by the scheme comprise contracts or policies of insurance?' absent when 'Is the scheme an investment regulated pension scheme?' is yes.
Error Message	[Pension Scheme Registration 9] 'You have indicated that the scheme is an investment regulated pension scheme please confirm if all the investments held by the scheme comprise of contracts or policies of insurance'.

<b>10. In what country or territory is the scheme established?</b>	
Error	Parse level

11. Other	
Error	Parse level

12. Is the scheme an Occupational Scheme?	
Error	Parse level

Name of the person who established the scheme for the purposes of section 154 Finance Act 2004

Multiple occurrences of 'Scheme Establishers Details' are allowed – Maximum 99

Only Individual Details OR Organisation Name can be sent, they cannot be sent together

Individual

13. Title	
Error	Parse level

14. Forename(s)	
Error	Parse level

15. Surname	
Error	Parse level

16. Organisation Name	
Error	Parse level

17. Address	
Error	Parse level

<b>18. UK Postcode</b>	
Error	Parse level

<b>19. Country</b>	
Error	Parse level

I declare that:

<b>20. This scheme meets all the criteria to be registered as a pension scheme under Finance Act 2004</b>	
Error	Parse level

<b>21. To the best of my knowledge and belief, the information given in this application to register the pension scheme for the purposes of tax relief is correct and complete</b>	
Error	Parse level

<b>22. I understand that as Scheme Administrator I am responsible for discharging the functions conferred or imposed on the Administrator of the pension scheme by Finance Act 2004, and I intend to discharge those functions at all times, whether resident in the United Kingdom or another EU member state or a non-EU member EEA state</b>	
Error	Parse level

<b>23. False statement declaration</b>	
Error	Parse level

<b>24. The instruments or agreements by which this pension is constituted do not entitle any person to unauthorised payments</b>	
Error	Parse level

**Name of Scheme Administrator as defined in section 270 Finance Act 2004**

Only Individual details or Organisation Name can be sent at a time, they cannot be sent together

**Individual**

<b>25. Title</b>	
Error	Parse level
<b>26. Forename(s)</b>	
Error	Parse level
<b>27. Surname</b>	
Error	Parse level
<b>28. Organisation Name</b>	
Error	Parse level
<b>29. Address</b>	
Error	Parse level
<b>30. UK Postcode</b>	
Error	Parse level
<b>31. Country</b>	
Error	Parse level

32. e-mail address	
Error	Parse level

33. Telephone number	
Error	Parse level

34. Scheme Administrator ID	
Error	Parse level

35. Would you like a copy of the Acknowledgement for this registration to go to a Practitioner?	
Error	Parse level

36. Practitioner ID	
Error	Parse level

## 6. Success Response Messages

1. Successful Submission Response	
Message Number	MSG9
Response	Notifying the actor of a successful submission (no practitioner)
Response Message	<p>'Thank you for your application to register a new pension scheme for tax relief and exemptions. The Pension Scheme Tax Reference (PSTR) for this registered pension scheme is: &lt;PSTR&gt;. You should use this to view pension scheme details and in all future communications with HMRC about this pension scheme.</p> <p>Your certificate of acknowledgement of registration for tax relief and exemptions is being issued and you will be able to view it on your Pensions Notice Board within 24 hours. If you requested paper notices and reminders as well as electronic you will receive a paper acknowledgement through the post.</p> <p>If the scheme has two or more members you need to register with The Pensions Regulator. To do this go to <a href="http://www.thepensionsregulator.gov.uk">www.thepensionsregulator.gov.uk</a></p> <p>You may also register this scheme for VAT. To do this go to <a href="http://customs.hmrc.gov.uk/channelsPortalWebApp/channelsPortalWebApp.portal">http://customs.hmrc.gov.uk/channelsPortalWebApp/channelsPortalWebApp.portal</a></p>
Message Number	MSG12
Response	Notifying the actor of a successful submission (Practitioner)

Response Message	<p>'Thank you for your application to register a new pension scheme for tax relief and exemptions. The Pension Scheme Tax Reference (PSTR) for this registered pension scheme is: &lt;PSTR&gt;. You should use this to view pension scheme details and in all future communications with HMRC about this pension scheme. As requested a copy of the acknowledgement of registration will also be sent to &lt;Practitioners name&gt; the practitioner you nominated.</p> <p>Your certificate of acknowledgement of registration for tax relief and exemptions is being issued and you will be able to view it on your Pensions Notice Board within 24 hours. If you requested paper notices and reminders as well as electronic you will receive a paper acknowledgement through the post.</p> <p>If the scheme has two or more members you need to register with The Pensions Regulator. To do this go to <a href="http://www.thepensionsregulator.gov.uk">www.thepensionsregulator.gov.uk</a></p> <p>You may also register this scheme for VAT. To do this go to <a href="http://customs.hmrc.gov.uk/channelsPortalWebApp/channelsPortalWebApp.portal">http://customs.hmrc.gov.uk/channelsPortalWebApp/channelsPortalWebApp.portal</a></p>
Message Number	MSG13
Response	Scheme will be an SHP scheme
Response Message	<p>The system has determined that the scheme you are wishing to register for tax relief and exemptions is a Stakeholder Pension Scheme (SHP). To register and be approved as a Stakeholder Pension Scheme you also need to register with The Pensions regulator, to operate tax relief at source and to elect to contract out of the 2<sup>nd</sup> State Pension Scheme.</p>
Message Number	MSG18
Response	Notifying the actor of a successful submission
Response Message	<p>You have successfully declared yourself as Scheme Administrator of the following deferred annuity contract type scheme:&lt;scheme name&gt;.The Pension Scheme Tax Reference (PSTR) for this scheme is &lt;PSTR&gt;.You should use this to view pension scheme details and in all future communications with HMRC about this pension scheme.</p>

## 7. Joint Registration

<b>1. Joint Registration Response</b>	
Message Number	
Response	Registration passes and Relief at Source passes
Response Message	<The relevant success messages for Registration and Relief at Source will be displayed MSG9 + MSG1 or MSG12 + MSG1 or MSG13 + MSG1.>
Message Number	799061
Response	Registration passes but Relief at Source fails
Response Message	<The relevant registration success message will be displayed with> 'Your Relief at Source document failed validation. This however does not affect your Pension Scheme Registration.'
Message Number	799050
Response	Registration fails and Relief at Source either passes or fails
Response Message	'As Scheme Registration has failed, any other messages included in this submission have not been processed.'