

**Pension Scheme Service
Registered Pension Scheme Event Report April 2012
Business Validation Rules**

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1. About this document

This document contains both the validation and business rules, which will form part of the Validation, checks that will need to be undertaken by both the IR E portal or that developed by third party software suppliers

Section 3 of the document outlines the general validation rules that should be applied to data or groups of data (for example it defines alpha/numeric fields) across all input forms.

Section 4 describes in tabular form the data requirements for each field. The columns in this section contain the following information:

Column	Rule Type
Pre-condition & Post-Condition	Schema Validation Rules
Validation / Processing	Business Validation Rules applied after the schema validation rules have been passed

2. Pension Scheme System Acceptable Characters

The following is a list of characters that will be accepted by the Pension Schemes Online.

- A to Z (upper case)
- a to z (lower case)
- 0 to 9 (numeric)
- space & ' () * , . / % ! + - : ; = ? @ [] ^ _ { } ~
- Please note that the ampersand (&) and apostrophe (') characters **must** be transmitted in escaped format within your XML submission, i.e. & and '
- Note that the pound (£), dollar (\$) and hash (#) characters are not allowable.

You must ensure that all characters contained within your XML submissions are in accordance with the patterns defined within the Schema.

No other characters will be accepted by Pension Scheme System and PSS forms submitted electronically, which contain invalid characters will be rejected.

3. General Validation Rules

The following rules apply globally:

1. Alpha characters are defined as A-Z upper and lower case.
2. Numeric is defined as 0-9.
3. Alphanumeric is defined as the combination of Alpha and Numeric characters.
4. The full character set is defined as all the characters as defined in section 4.
5. Date fields are in the format CCYY-MM-DD
6. All currency fields should use IrnonNegativeWholeUnitsMonetaryType. Zero is an acceptable value for Currency fields unless specified in the validation section of the relevant field.
7. The Yes/No code is a user defined data type that reflects the variables in the fields requiring the return of a Yes or No value. This should be represented as 'yes' or 'no'.

4. Data Items

4.1 Submission

1. Version number		
X-path	SchemeEventReport/VersionNumber	
Structure and format		XML
	Mandatory / Optional	Mandatory
	Data Type	xsd:integer
	Length	Min 1 character - Max 3 characters
Validation / Processing	Pre-condition	Post-condition
Number 0-998	1. Must be '0' if data item 2 is 'no' 2. Must be in the range 1-998 if data item 2 is 'yes'	

2. Is this an amendment?		
X-path	SchemeEventReport/Amendment	
Structure and format	XML	
	Mandatory / Optional	Mandatory
	Data Type	core:Yes/NoType
	Length	Min 2 characters - Max 3 characters
Validation / Processing	Pre-condition	Post-condition
Must be 'yes' or 'no'		<ol style="list-style-type: none"> 1. If 'yes' there may be no reportable events 2. If 'no' there must be at least one of the following <p>Reportable changes to the Scheme</p> <ul style="list-style-type: none"> • [Data item 9] Date Scheme wound up • [Data item 11] Date from which the scheme became an investment-regulated pension scheme • [Data item 11.5] Do all investments held by the scheme comprise contracts or policies of insurance • [Data item 13] Date from which the pension scheme ceased to be an investment-regulated pension scheme • [Data item 15] Date from which the scheme changed its rules to entitle any person to require the making of unauthorised payments • [Data item 17] Date from which the scheme changed its rules to allow investments in assets other than contracts or policies of insurance • [Data item 19] Date from which the scheme changed its rules • [Data items 21-24] Has the scheme changed its legal structure? • [Data item 26] Select membership band applicable as at the end of the tax year 5 April • [Data item 28] Country or territory in which the scheme is now established • [Data item 28.1] Date of change • [Data item 29.1] Date on which the scheme became an Occupational Pension scheme • [Data item 29.2] Date on which the scheme ceased to be an Occupational Pension scheme <p>Reportable Fund Movements</p> <ul style="list-style-type: none"> • [Data items 31-51] Has the scheme made unauthorised payments or it was treated as having made unauthorised payments? • [Data items 73-89] Has there been more than one lump sum death benefit payment to a person in respect of a member and those payments, either alone or when aggregated with other such payments from the scheme, amount to more than 50% of the Standard Lifetime Allowance applicable when the member died? • [Data items 91-100] Has any ill-health pension ceased to be paid? • [Data items 102-113] Has any benefit crystallisation event occurred in relation to a member and the amount crystallised exceeds the Standard Lifetime Allowance or together with other amounts crystallised exceeds the Standard Lifetime Allowance and the member relied on either an enhanced lifetime allowance, enhanced protection or fixed protection to reduce or eliminate liability to the lifetime allowance charge? • [Data items 115-126] Have there been any recognised transfers to a qualifying recognised overseas scheme which is not a registered pension scheme? • [Data items 140-151] Has the scheme provided benefits to a member who is under the normal minimum pension age and before the benefits were provided the member was either in the year that they were provided or any of the preceding six years • [Data items 153-163] Has the scheme paid a member a serious ill-health lump sum and before the payment was made either in the year that they were provided or any of the preceding six years • [Data items 165-175] Was there any pension commencement lump sum payments of more than 7.5% but less than 25% of the Standard Lifetime Allowance but more than 25% of a members pension rights paid, or a stand alone lump sum which is more than 7.5% of the Standard Lifetime Allowance? • [Data items 178-188] Did the scheme make a pension commencement lump sum payment or a stand alone lump sum to a member who relied on an enhanced lifetime allowance or enhanced protection? • [Data item 188.1] The scheme is treated as having made a scheme chargeable payment – income from taxable property • [Data item 188.2] The scheme is treated as having made a scheme chargeable payment – gains from taxable property • Data items 204-209] The scheme is treated as having made a flexible drawdown payment

3. This Report is for the year ended 5 April		
X-path	SchemeEventReport/SchemeDetails/YearEnded	
Structure and format		XML
	Mandatory / Optional	Mandatory
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
Must be in the format CCYY-04-05 Cannot be earlier than 2013 Cannot be a future tax year		

4. Pension Scheme Name		
X-path	SchemeEventReport/SchemeDetails/SchemeName	
Structure and format		XML
	Mandatory / Optional	Mandatory
	Data Type	PensionCore:SchemeNameType
	Length	Min 1 character - Max 255 characters
Validation / Processing	Pre-condition	Post-condition

5. Pension Scheme Tax Reference		
X-path	SchemeEventReport/SchemeDetails/TaxReference	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:TaxReferenceType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
The reference will be in the format <ul style="list-style-type: none"> NNNNNNNNRC Characters 1-8 must be numeric Character 9 must be 'R' Character 10 must be an alpha character but must not be I,O or U 	Mandatory if (data item 6) 'SF Reference' and (data item 7) 'Contract or Policy number' has not been completed	

6. SF Reference		
X-path	SchemeEventReport/SchemeDetails/SFReference	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:SFReferenceType
	Length	16 characters
Validation / Processing	Pre-condition	Post-condition
1. Format must be NNNNNNNNNNNNNNC 2. Numbers 1-3 must be in the range 001 - 026 or 049 3. Next 2 sets of 6 numbers can be in the range 0 - 9 4. Character 16 is an alpha character and must not be I, M, O, P, R, T, U, W, or Y	Mandatory if (data item 5) 'Pension Scheme Tax Reference' and (data item 7) 'Contract or Policy number' has not been completed	

7. Contract or Policy Number		
X-path	SchemeEventReport/SchemeDetails/ContractOrPolicyNumber	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	Min 1 character – Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	Mandatory if (data item 5) 'Pension Scheme Tax Reference' or (data item 6) 'SF Reference' has not been completed	

8. Withdrawn

If this is not an amendment to an Event Report already submitted, data items comprising one or more of the following sections must be present:

Reportable changes to the Scheme

[Data item 9]	Date Scheme wound up
[Data item 11]	Date from which the scheme became an investment-regulated pension scheme
[Data item 11.5]	Do all investments held by the scheme comprise contracts or policies of insurance?
[Data item 13]	Date from which the pension scheme ceased to be an investment-regulated pension scheme
[Data item 15]	Date from which the scheme changed its rules to entitle any person to require the making of unauthorised payments
[Data item 17]	Date from which the scheme changed its rules to allow investments in assets other than contracts or policies of insurance
[Data item 19]	Date from which the scheme changed its rules
[Data items 21-24]	Has the scheme changed its legal structure?
[Data item 26]	Select membership band applicable as at the end of the tax year 5 April
[Data item 28]	Country or territory in which the scheme is now established
[Data item 28.1]	Date of change
[Data item 29.1]	Date on which the scheme became an Occupational Pension scheme
[Data item 29.2]	Date on which the scheme ceased to be an Occupational Pension scheme

Reportable Fund Movements

[Data items 31-51]	Has the scheme made unauthorised payments or it was treated as having made unauthorised payments?
[Data items 73-89]	Has there been more than one lump sum death benefit payment to a person in respect of a member and those payments either alone or when aggregated with other such payments from the scheme, amount to more than 50% of the Standard Lifetime Allowance applicable when the member died?
[Data items 91-100]	Has any ill-health pension ceased to be paid?
[Data items 102-113]	Has any benefit crystallisation event occurred in relation to a member and the amount crystallised exceeds the Standard Lifetime Allowance or together with other amounts crystallised exceeds the Standard Lifetime Allowance and the member relied on either an enhanced lifetime allowance, enhanced protection or fixed protection to reduce or eliminate liability to the lifetime allowance charge?
[Data items 115-126]	Have there been any recognised transfers to a qualifying recognised overseas scheme which is not a registered pension scheme?
[Data items 140-151]	Has the scheme provided benefits to a member who is under the normal minimum pension age and before the benefits were provided the member was either in the year that they were provided or any of the preceding six years?
[Data items 153-163]	Has the scheme paid a member a serious ill-health lump sum and before the payment was made either in the year that they were provided or any of the preceding six years
[Data items 165-175]	Was there any pension commencement lump sum payments of more than 7.5% but less than 25% of the Standard Lifetime Allowance but more than 25% of a members pension rights paid, or a stand alone lump sum which is more than 7.5% of the Standard Lifetime Allowance?
[Data items 178-188]	Did the scheme make a pension commencement lump sum payment or a stand alone lump sum to a member who relied on an enhanced lifetime allowance or enhanced protection?
[Data item 188.1]	The scheme is treated as having made a scheme chargeable payment – income from taxable property
[Data item 188.2]	The scheme is treated as having made a scheme chargeable payment – gains from taxable property
[Data items 204-209]	The scheme is treated as having made a flexible drawdown payment

4.2 Reportable Changes to Scheme

Has the Scheme been wound up?

9. Date Scheme wound up			
X-path	SchemeEventReport/WoundUp/Date		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	SimpleDateType	
	Length	10 characters	
Validation / Processing		Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'			

Comment [IR1]:
The option of including 4 groups of 2 characters will be handled by making the element iterative to a Max of 4 occurrences

10. Withdrawn

Became investment regulated

If the scheme became an investment-regulated pension scheme enter the date at data item 11 and answer the supplementary question at 11.5

Multiple occurrences of Data item 11 and 11.5 are allowed

11. Date from which the scheme became an investment-regulated pension scheme			
X-path	SchemeEventReport/StartInvestmentRegulated/Date		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	SimpleDateType	
	Length	10 characters	
Validation / Processing		Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'			

11.5 Do all investments held by the scheme comprise contracts or policies of insurance

X-path	SchemeEventReport/StartInvestmentRegulated/ContractPolicyOfInsurance
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<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	core:Yes/NoType	
	Length	Min 2 characters - Max 3 characters	
Validation / Processing		Pre-condition	Post-condition
Must be 'yes' or 'no'		<ol style="list-style-type: none"> 1. Mandatory if data item 11 is completed 2. Must not be completed otherwise 	

12. Withdrawn

If the pension scheme ceased to be an investment-regulated pension scheme as defined in Schedule 29A Part 1 Finance Act 2004 enter the date at data item 13

Multiple occurrences of Data item 13 are allowed

13. Date from which the scheme ceased to be an investment-regulated pension scheme			
X-path	SchemeEventReport/EndInvestmentRegulated/Date		
<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	SimpleDateType	
	Length	10 characters	
Validation / Processing		Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'			

14. Withdrawn

If the scheme changed its rules to entitle any person to require the making of unauthorised payments enter the date below

Multiple occurrences of Data item 15 are allowed

15. Date from which the scheme changed its rules to entitle any person to require the making of unauthorised payments			
X-path	SchemeEventReport/AllowUnauthorisedPayments/Date		
<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	SimpleDateType	
	Length	10 characters	
Validation / Processing		Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'			

16. Withdrawn

If the scheme changed its rules to allow investments in assets other than contracts or policies of insurance enter the date at Data item 17

Multiple occurrences of Data item 17 are allowed

17. Date from which the scheme changed its rules to allow investments in assets other than contracts or policies of insurance			
X-path	SchemeEventReport/AllowNonInsuranceInvestments/Date		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	SimpleDateType	
	Length	10 characters	
Validation / Processing		Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'			

18. Withdrawn

If the scheme was treated as two or more schemes immediately before 6 April 2006 and has changed its rules enter the data at data item 19

Multiple occurrences of Data item 19 are allowed

19. Date from which the scheme changed its rules			
X-path	SchemeEventReport/RulesChange/Date		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	SimpleDateType	
	Length	10 characters	
Validation / Processing		Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'			

20. Withdrawn

If the scheme changed its legal structure enter the relevant details below

Data items 21- 24 form a repeatable set of events.

Multiple occurrences of Data items 21- 24 are allowed

21. Date the scheme changed its legal structure			
X-path	SchemeEventReport/LegalStructure/Date		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	SimpleDateType	
	Length	10 characters	
Validation / Processing		Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'			

22. Select the type of legal structure the scheme now has			
X-path	SchemeEventReport/LegalStructure/NewStructure/Type		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	PensionCore:PSOstringType	
	Length	N/A	
Validation / Processing		Pre-condition	Post-condition
Must be one of the following: <ul style="list-style-type: none"> • single • body-corporate • annuity-contract 		1. Mandatory if data item 23 not completed 2. Must not be completed otherwise	

23. Other (brief description)			
X-path	SchemeEventReport/LegalStructure/NewStructure/Other		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	PensionCore:OtherDetailsType	
	Length	Min 1 character- Max 150 characters	
Validation / Processing		Pre-condition	Post-condition
		1. Mandatory if data item 22 not completed	

	2. Must not be completed otherwise	
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24. Contract or Policy Number		
X-path	SchemeEventReport/LegalStructure/NewStructure/ContractOrPolicyNumber	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. May only be completed if data item 22 is 'annuity contract'. 2. Must not be completed otherwise	

25. Withdrawn

If the number of scheme members at the end of the tax year 5 April fall in a different band from that in which it fell at the end of the previous tax year complete data item 26

26. Select membership band applicable as at the end of the tax year 5 April		
X-path	SchemeEventReport/NumberOfMembers/NumberBand	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	N/A
Validation / Processing	Pre-condition	Post-condition
Must have one of the following values: 0 1 - 10 11 - 50 51 - 10000 10001+		

27. Withdrawn

If the scheme has changed the country or territory in which it was established complete data items 28&28.1 - Multiple occurrences of data item 28&28.1 are allowed

28. Country or territory in which the scheme is now established		
X-path	SchemeEventReport/CountryChanged/Country	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOcountryType
	Length	Min 1 characters - Max 35 characters
Validation / Processing	Pre-condition	Post-condition

28.1 Date of change		
X-path	SchemeEventReport/CountryChanged/Date	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	Xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'	Mandatory if data item 28 is completed	

29. Moved to 11.5

Scheme has become an Occupational Pension scheme

If the scheme has become an Occupational Pension scheme enter Date on which the scheme became an Occupational Pension scheme at data item 29.1 must not be completed otherwise.

29.1 Date on which the scheme became an Occupational Pension scheme		
X-path	SchemeEventReport/BecameOccupational/Date	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'		

Scheme has ceased to be an Occupational Pension Scheme

If the scheme has ceased to be an Occupational Pension Scheme enter Date on which the scheme ceased to be an Occupational Pension scheme at data item 29.2 must not been completed otherwise.

29.2 Date on which the scheme ceased to be an Occupational Pension scheme		
X-path	SchemeEventReport/BecameNonOccupational/Date	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'		

30. Withdrawn

4.3 Reportable Movements of Scheme Funds

4.3.1 Unauthorised Payments

Has the scheme made unauthorised payments or it was treated as having made unauthorised payments?

Data items 31-51 form a repeatable set of events

The submission may contain Individual and Organisation Recipients details

Recipient Type

31. Who received the unauthorised payment or deemed unauthorised payment?		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	N/A
Validation / Processing	Pre-condition	Post-condition
<ul style="list-style-type: none"> Member OnBehalfMember Employer OnBehalfEmployer 		<ol style="list-style-type: none"> If 'Member' is selected only data items 32-39 can be completed For all other recipients data items 32-51 can be completed

Items 32-39 can be applied to all types of Recipients

Individual Details

32. Title		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Member/Name/Ttl SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Individual/Name/Ttl SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Individual/Name/Ttl SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Individual/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

33. Forename(s)		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Member/Name/Fore SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Individual/Name/Fore SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Individual/Name/Fore SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Individual/Name/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> Mandatory if 'Who received the unauthorised payments?' [data item 31] is 'member' or includes 'individual' Must not be completed if 'who received the unauthorised payments?' [data item 31] includes 'organisation' 	

34. Surname		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Member/Name/Sur SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Individual/Name/Sur SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Individual/Name/Sur SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Individual/Name/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> Mandatory if 'Who received the unauthorised payments?' [data item 31] is 'member' or includes 'individual' Must not be completed if 'Who received the unauthorised payments?' [data item 31] includes 'organisation' 	

35. Withdrawn

36. Withdrawn

37. Withdrawn		
38. National Insurance Number		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Member/NINO SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Individual/NINO SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Individual/NINO SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Individual/NINO	
Structure and format	XML	
	Mandatory / Optional	Optional
	Data Type	core:NINOtype
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	<ol style="list-style-type: none"> Mandatory if: 'Who received the unauthorised payments?' [data item 31] is 'member' or includes 'individual' Must not be completed otherwise 	

39. Date of birth		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Member/DOB SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Individual/DOB SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Individual/DOB SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Individual/DOB	
Structure and format	XML	
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

Items 40-51 can be applied to Recipient Types 'On Behalf of member', 'Employer' and 'On Behalf of Employer'

Organisation Details

40. Organisation Name		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Organisation/Name SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Organisation/Name SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Organisation/Name	
Structure and format	XML	
	Mandatory / Optional	Optional

	Data Type	PensionCore:PSOstringType	
	Length	Min 1 character - Max 255 characters	
Validation / Processing	Pre-condition	Post-condition	
	<ol style="list-style-type: none"> 1. Mandatory if 'Who received the unauthorised payments?' [data item 31] includes 'organisation' 2. Must not be completed if 'Who received the unauthorised payments?' [data item 31] is 'member' or includes 'individual' 		

41. Withdrawn

42. Withdrawn

43. Withdrawn

44. Withdrawn

45. Withdrawn

46. Address

X-path	SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Organisation/Address/Line SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Organisation/Address/Line SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Organisation/Address/Line		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	PensionCore:AddressType	
	Length	Min 2 lines - Max 4 lines Min 1 character - Max 35 characters	
Validation / Processing	Pre-condition	Post-condition	
	<ol style="list-style-type: none"> 1. Mandatory if 'Who received the unauthorised payments?' [data item 31] includes 'organisation' 2. Must not be completed if 'Who received the unauthorised payments?' [data item 31] is 'member' or includes 'individual' 		

47. UK Postcode

X-path	SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Organisation/Address/PostCode SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Organisation/Address/ PostCode SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Organisation/Address/ PostCode		
Structure and format		XML	
	Mandatory / Optional	Optional	
	Data Type	PensionCore:AddressType	

	Length	Min 1 character - Max 8 characters	
Validation / Processing		Pre-condition	Post-condition

48. Country			
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Organisation/Address/Country SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Organisation/Address/Country SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Organisation/Address/Country		
<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	PensionCore:AddressType	
	Length	Min 1 character - Max 35 characters	
Validation / Processing		Pre-condition	Post-condition
		1. Mandatory if 'Who received the unauthorised payments?' [data item 31] includes 'organisation' 2. Must not be completed if 'Who received the unauthorised payments?' [data item 31] is 'member' or includes 'individual'	

49. Employer's Company Registration Number			
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfMember/Organisation/CRN SchemeEventReport/UnauthorisedPayments/Recipient/Employer/Organisation/CRN SchemeEventReport/UnauthorisedPayments/Recipient/OnBehalfEmployer/Organisation/CRN		
<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	PensionCore:CRNtype	
	Length	Min 7 characters - Max 8 characters	
Validation / Processing		Pre-condition	Post-condition
1. The Company Registration Number must be in the following format:- <ul style="list-style-type: none"> • 8 numbers starting with '0' or • One of the following prefixes followed by 6 numbers: 		1. Mandatory if 'Who received the unauthorised payments?' [data item 31] includes 'organisation' 2. Must not be completed if 'Who received the unauthorised payments?' [data item 31] is 'member' or includes 'individual'	
<ul style="list-style-type: none"> • AC • BR • FC • GE • GN • GS 	<ul style="list-style-type: none"> • LP • NA • NF • NI • NL • NO 	<ul style="list-style-type: none"> • NR • OC • RC • SA • SC • SF 	<ul style="list-style-type: none"> • SO • SP • SR • SZ • ZC • Single letter R

• IC	• NP	• SI		
• IP	• NZ	• SL		

Payment Details

49.1 Nature of payment or deemed payment		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Payment/Nature	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	N/A
Validation / Processing	Pre-condition	Post-condition
Must be only one of the following: <ul style="list-style-type: none"> • benefit-in-kind • employer • non-recognised • error • benefits • loans • residential-property • tangible-property 	1. Mandatory if data item 31 is present and 49.2 is absent 2. Must not be completed otherwise. 3. This can only be benefit in kind if item 31 is member or on behalf of member	

49.2 Other [brief description]		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Payment/Other	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:OtherDetailsType
	Length	Min 1 character - Max 150 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if data item 31 is present and 49.1 is absent 2. Must not be completed otherwise.	

50. Total value or amount paid		
X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Payment/Amount	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters - Max 13 characters
Validation / Processing	Pre-condition	Post-condition

Range from 1.00 - 9999999999.00	<ol style="list-style-type: none"> 1. Mandatory if data item 31 is present 2. Must not be completed otherwise 	
---------------------------------	---	--

51. Date of payment or when benefit made available		
---	--	--

X-path	SchemeEventReport/UnauthorisedPayments/Recipient/Payment/Date	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	SimpleDateType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
<ol style="list-style-type: none"> 1. CCYY-MM-DD 2. Date can not be a future date 3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April' 	<ol style="list-style-type: none"> 1. Mandatory if data item 31 is present 2. Must not be completed otherwise 	

52. Withdrawn

53. Withdrawn

54. Withdrawn

55. Withdrawn

56. Withdrawn

57. Withdrawn

58. Withdrawn

59. Withdrawn

60. Withdrawn

61. Withdrawn

62. Withdrawn

63. Withdrawn

63.1. Withdrawn

64. Withdrawn

65. Withdrawn

66. Withdrawn

67. Withdrawn

68. Withdrawn

69. Withdrawn

70. Withdrawn

71. Withdrawn

72. Withdrawn

4.3.3 Death Benefit Payments > 50% of SLA

Has there been more than one lump sum death benefit payment to a person in respect of a member and those payments, either alone or when aggregated with other such payments from the scheme, amount to more than 50% of the Standard Lifetime Allowance applicable when the member died?

Data items 73-89 form a repeatable set of events. Multiple occurrences of Member Details (elements 73-80) are allowed with multiple occurrences of individual/organisation details (elements 81-89) per member.

Member Details

73. Title		
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

74. Forename(s)		
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Name/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 73 and 75-89 are completed 2. Must not be completed otherwise	

75. Surname		
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Name/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 73-74 and 79-89 are completed 2. Must not be completed otherwise	

76. Withdrawn
77. Withdrawn
78. Withdrawn
79. Date of birth

X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/DOB	
		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

80. National Insurance Number

X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/NINO	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	Core:NINOtype
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	<ol style="list-style-type: none"> 1. Mandatory if: any of data items 73-75 and 81-89 are completed 2. Must not be completed otherwise 	

Recipient Details – Must be either Individual details or Organisation Name, they cannot be sent together. There must be at least one occurrence of Recipient Details per occurrence of Member Details.

Individual Details

81. Title						
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Individual/Ttl					
<i>Structure and format</i>		XML				
	Mandatory / Optional	Optional				
	Data Type	TitleType				
	Length	Min 1 character - Max 4 characters				
Validation / Processing		<table border="1"> <thead> <tr> <th>Pre-condition</th> <th>Post-condition</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> </tbody> </table>	Pre-condition	Post-condition		
Pre-condition	Post-condition					

82. Forename(s)						
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Individual/Fore					
<i>Structure and format</i>		XML				
	Mandatory / Optional	Optional				
	Data Type	PensionCore:NameStructure				
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters				
Validation / Processing		<table border="1"> <thead> <tr> <th>Pre-condition</th> <th>Post-condition</th> </tr> </thead> <tbody> <tr> <td> 1. Mandatory if any of data items 73-81, 83-83.1 and 88-89 are completed and data item 84 is not completed 2. Must not be completed otherwise </td> <td></td> </tr> </tbody> </table>	Pre-condition	Post-condition	1. Mandatory if any of data items 73-81, 83-83.1 and 88-89 are completed and data item 84 is not completed 2. Must not be completed otherwise	
Pre-condition	Post-condition					
1. Mandatory if any of data items 73-81, 83-83.1 and 88-89 are completed and data item 84 is not completed 2. Must not be completed otherwise						

83. Surname						
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Individual/Sur					
<i>Structure and format</i>		XML				
	Mandatory / Optional	Optional				
	Data Type	PensionCore:NameStructure				
	Length	Min 1 character - Max 35 characters				
Validation / Processing		<table border="1"> <thead> <tr> <th>Pre-condition</th> <th>Post-condition</th> </tr> </thead> <tbody> <tr> <td> 1. Mandatory if any of data items 73-83.1 and 88-89 are completed and data item 84 is not completed 2. Must not be completed otherwise </td> <td></td> </tr> </tbody> </table>	Pre-condition	Post-condition	1. Mandatory if any of data items 73-83.1 and 88-89 are completed and data item 84 is not completed 2. Must not be completed otherwise	
Pre-condition	Post-condition					
1. Mandatory if any of data items 73-83.1 and 88-89 are completed and data item 84 is not completed 2. Must not be completed otherwise						

83.1. National Insurance Number		
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/MemberNINO	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:NINOtype
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	<ol style="list-style-type: none"> Optional if: any of data items 73-83 and 88-89 are completed and data item 84 is not completed Must not be completed otherwise 	

Organisation Details

84. Organisation Name		
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Organisation	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	Min 1 character - Max 255 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> Mandatory if any of data items 73-80 and 85-89 are completed and data items 81-83 are not completed Must not be completed otherwise 	

85. Address		
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Address/Line	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:AddressType
	Length	Min 2 lines - Max 4 lines Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> Mandatory if any of data items 84 and 86-87 are completed Must not be completed otherwise 	

86. UK Postcode		
X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Address/PostCode	

<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	PensionCore:AddressType	
	Length	Min 1 character - Max 8 characters	
Validation / Processing		Pre-condition	Post-condition
		1. Must not be completed if any of data items 81-83.1 are completed	

87. Country

X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Address/Country		
<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	PensionCore:AddressType	
	Length	Min 1 character – Max 35 characters	
Validation / Processing		Pre-condition	Post-condition
		1. Mandatory if any of data items 84-86 are completed 2. Must not be completed otherwise	

There must be at least one occurrence of payment details data items 88-89 per occurrence of Recipient Details data items 81-87

Payment details

88. Amount paid

X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Payment/Amount		
<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType	
	Length	Min 4 characters - Max 13 characters	
Validation / Processing		Pre-condition	Post-condition
Range from 1.00 - 9999999999.00		1. Mandatory if any of data items 73-87 and 89 are completed 2. Must not be completed otherwise	

89. Date of payment

X-path	SchemeEventReport/PaymentsExceedingHalfSLA/Member/Recipient/Payment/Date		
<i>Structure and format</i>		XML	
	Mandatory / Optional	Optional	
	Data Type	SimpleDateType	
	Length	10 characters	
Validation / Processing		Pre-condition	Post-condition

<ol style="list-style-type: none">1. CCYY-MM-DD2. Cannot be a future date3. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'	<ol style="list-style-type: none">1. Mandatory if any of data items 73-88 are completed2. Must not be completed otherwise	
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90. Withdrawn

4.3.4 III-Health Pension Ceased

Has any ill-health pension ceased to be paid?

Data items 91-100 form a repeatable set of events.

Multiple occurrences of Member Details (elements 91-98) are allowed, with 1 or more occurrences of payment details (elements 99-100) per member.

Member details

91. Title		
X-path	SchemeEventReport/IIIHealthSuspensions/Member/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

92. Forename(s)		
X-path	SchemeEventReport/IIIHealthSuspensions/Member/Name/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> 1. Mandatory if any of data items 91,93-100 are completed 2. Must not be completed otherwise 	

93. Surname		
X-path	SchemeEventReport/IIIHealthSuspensions/Member/Name/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> 1. Mandatory if any of data items 91,92 or 97-100 are completed 2. Must not be completed otherwise 	

94. Withdrawn
95. Withdrawn
96. Withdrawn
97. National Insurance Number

X-path	SchemeEventReport/IIIHealthSuspensions/Member/NINO	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:NINOtype
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	<ol style="list-style-type: none"> Mandatory if: any of data items 91-93 or 99-100 are completed Must not be completed otherwise 	

98. Date of birth

X-path	SchemeEventReport/IIIHealthSuspensions/Member/DOB	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

Multiple occurrences of data item 99 'Date the period of non-payment commenced' & data item 100 'Annual rate of pension to which the member was entitled immediately before the date of non-payment commenced are allowed

99. Date the period of non-payment started

X-path	SchemeEventReport/IIIHealthSuspensions/Member/Suspension/Date	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	SimpleDateType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	1. Mandatory if any of data items 91-98 or 100 are	

2. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April'	completed	
3. Cannot be a future date.	2. Must not be completed otherwise	

100. Annual rate of pension to which the member was entitled immediately before the date of non-payment commenced		
X-path	SchemeEventReport/IIIHealthSuspensions/Member/Suspension/PensionRate	
Structure and format	XML	
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters - Max 13 characters
Validation / Processing	Pre-condition	Post-condition
Range 1.00 - 9999999999.00	1. Mandatory if any of data items 91-99 are completed 2. Must not be completed otherwise	

101. Withdrawn

4.3.5 Benefit Crystallisation Event

Has any benefit crystallisation event occurred in relation to a member and the amount crystallised exceeds the Standard Lifetime Allowance or together with other amounts crystallised exceeds the Standard Lifetime Allowance and the member relied on either an enhanced lifetime allowance, enhanced protection or fixed protection to reduce or eliminate liability to the lifetime allowance charge?

Data items 102-113 form a repeatable set of events.

Multiple occurrences of Member Details (elements 102-110), with multiple events (elements 111-113) per member are allowed.

Member details

102. Title		
X-path	SchemeEventReport/BenefitCrystallisation/Member/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

103. Forename(s)		
X-path	SchemeEventReport/BenefitCrystallisation/Member/Name/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> 1. Mandatory if any of data items 102, 104-113 are completed 2. Must not be completed otherwise 	

104. Surname		
X-path	SchemeEventReport/BenefitCrystallisation/Member/Name/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> 1. Mandatory if any of data items 102-103 or 109-113 are completed 2. Must not be completed otherwise 	

105. Withdrawn

106. Withdrawn

107. Withdrawn

108. Withdrawn

109. Date of birth

X-path	SchemeEventReport/BenefitCrystallisation/Member/DOB	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

110. National Insurance Number

X-path	SchemeEventReport/BenefitCrystallisation/Member/NINO	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:NINOType
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	<ol style="list-style-type: none"> 1. Mandatory if: Any of data items 102-104 or 111-113 are completed 2. Must not be completed otherwise 	

Multiple occurrences of data item 111 'Date of benefit crystallisation event', data item 112 'Amount crystallised by the event' and data item 113 'Reference number issued by HMRC to the member' are allowed.

111. Date of benefit crystallisation event		
X-path	SchemeEventReport/BenefitCrystallisation/Member/Event/Date	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	SimpleDateType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD 2. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April' 3. Cannot be a future date	1. Mandatory if any of data items 102-110 or 112-113 are completed 2. Must not be completed otherwise	

112. Amount crystallised by the event		
X-path	SchemeEventReport/BenefitCrystallisation/Member/Event/Amount	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters - Max 13 characters
Validation / Processing	Pre-condition	Post-condition
Range from 1.00 - 999999999.00	1. Mandatory if any of data items 102-111 or 113 are completed 2. Must not be completed otherwise	

113. Reference number issued by HMRC to the member		
X-path	SchemeEventReport/BenefitCrystallisation/Member/Event/Reference	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	8 characters
Validation / Processing	Pre-condition	Post-condition
Format to be NNNNNNNA (7 numbers followed by 1 letter)	1. Mandatory if any of data items 102-112 are completed 2. Must not be completed otherwise	

114. Withdrawn		
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4.3.6 Transfer to Overseas Scheme

Have there been any recognised transfers to a qualifying recognised overseas scheme which is not a registered pension scheme?

Data items 115-126 form a repeatable set of events.

Multiple occurrences of Member Details (elements 115-122) are allowed, with multiple occurrences of transfer details (elements 123-126) per member.

The same member details can be provided a number of times.

Member Details

115. Title		
X-path	SchemeEventReport/TransfersOverseas/Member/Name/Ttl	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

116. Forename(s)		
X-path	SchemeEventReport/TransfersOverseas/Member/Name/Fore	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 115, 117-126 are completed 2. Must not be completed otherwise	

117. Surname		
X-path	SchemeEventReport/TransfersOverseas/Member/Name/Sur	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 115-116 or 120-126 are completed 2. Must not be completed otherwise	

118. Withdrawn
119. Withdrawn
120. Country

X-path	SchemeEventReport/TransfersOverseas/Member/MemberCountry	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:AddressType
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> Mandatory if any of data items 115-117 or 121-126 are completed Must not be completed otherwise 	

121. Date of birth

X-path	SchemeEventReport/TransfersOverseas/Member/DOB	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

122. National Insurance Number

X-path	SchemeEventReport/TransfersOverseas/Member/NINO	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:NINOType
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	<ol style="list-style-type: none"> Mandatory if: any of data items 115-120 or 123-126 are completed Must not be completed otherwise. 	

123. Date of Transfer

X-path	SchemeEventReport/TransfersOverseas/Member/Transfer/Date	
Structure and format		XML

	Mandatory / Optional	Optional
	Data Type	SimpleDateType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD 2. Date must fall within the Tax Year detailed at (data item 3) 'This report is for the year ended 5 April' 3. Cannot be a future date	1. Mandatory if any of data items 115-122 or 124-126 are completed 2. Must not be completed otherwise	

124. Total amount of the sums or assets transferred		
X-path	SchemeEventReport/TransfersOverseas/Member/Transfer/Amount	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters - Max 13 characters
Validation / Processing	Pre-condition	Post-condition
Range from 1.00 - 9999999999.00	1. Mandatory if any of data items 115-123 or 125-126 are completed 2. Must not be completed otherwise	

125. Name of the qualifying recognised overseas pension scheme		
X-path	SchemeEventReport/TransfersOverseas/Member/Transfer/ReceivingScheme/Name	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:SchemeNameType
	Length	Min 1 character - Max 255 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 115-124 or 126 are completed 2. Must not be completed otherwise	

126. Country or territory under the law of which the receiving qualifying recognised overseas pension scheme is established and regulated		
X-path	SchemeEventReport/TransfersOverseas/Member/Transfer/ReceivingScheme/Country	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 115-125 are completed 2. Must not be completed otherwise	

128. Withdrawn**129. Withdrawn****130. Withdrawn****131. Withdrawn****132. Withdrawn****133. Withdrawn**

134. Withdrawn

135. Withdrawn

136. Withdrawn

137. Withdrawn

138. Withdrawn

139. Withdrawn

4.3.9 Benefits to Member Linked to Sponsoring Employer

Has the scheme provided benefits to a member who is under the normal minimum pension age and before the benefits were provided the member was either in the year which they were provided or any of the preceding six years;

- In relation to the sponsoring employer, or an associated employer, a director or a person connected to a director
- Whether alone, or with others, the sponsoring employer, or
- A person connected to the sponsoring employer

Member details data items 140-147 may occur multiple times

140. Title		
X-path	SchemeEventReport/EarlyBenefits/Member/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

141. Forename(s)		
X-path	SchemeEventReport/EarlyBenefits/Member/Name/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if data items 140, 142-147 are completed 2. Must not be completed otherwise	

142. Surname		
X-path	SchemeEventReport/EarlyBenefits/Member/Name/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if data items 140-141, 146-147 are completed 2. Must not be completed otherwise	

143. Withdrawn

144. Withdrawn

145. Withdrawn

146. Date of birth		
X-path	SchemeEventReport/EarlyBenefits/Member/DOB	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

147. National Insurance Number		
X-path	SchemeEventReport/EarlyBenefits/Member/NINO	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:NINOType
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	1. Mandatory if: any of data items 140-142 are completed 2. Must not be completed otherwise	

Benefit details - At least one occurrence of 148-151 must occur for each instance of Member details

148. Reason the benefits were taken		
X-path	SchemeEventReport/EarlyBenefits/Member/Benefit/Reason	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	N/A
Validation / Processing	Pre-condition	Post-condition
Must be one of the following <ul style="list-style-type: none"> ill-health protected 	<ol style="list-style-type: none"> Mandatory if any of data items 140-147 are completed and data item 149 is absent. Must not be completed otherwise 	

149. Other (brief description)		
X-path	SchemeEventReport/EarlyBenefits/Member/Benefit/Other	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:OtherDetailsType
	Length	Min 1 character - Max 150 characters
Validation / Processing	Pre-condition	Post-condition
	<ol style="list-style-type: none"> Mandatory if any of data items 140-147 are completed and data item 148 is absent Must not be completed otherwise 	

150. Date when the Benefits were taken		
X-path	SchemeEventReport/EarlyBenefits/Member/Benefit/Date	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	SimpleDateType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
<ol style="list-style-type: none"> CCYY-MM-DD This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April' Cannot be a future date 	<ol style="list-style-type: none"> Mandatory if any of data items 140-149 are completed Must not be completed otherwise 	

151. Amount of the Benefits		
X-path	SchemeEventReport/EarlyBenefits/Member/Benefit/Amount	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType

	Length	Min 4 characters - Max 13 characters	
Validation / Processing		Pre-condition	Post-condition
Range from 1.00 - 9999999999.00		1. Mandatory if data items 140-150 are completed 2. Must not be completed otherwise	

152. Withdrawn

4.3.10 Ill-Health Lump Sum to Member Linked to Sponsoring Employer

Has the scheme paid a member a serious ill-health lump sum and, before the payment was made, was the member either in the year in which they were provided, or any of the preceding six years,

- In relation to the sponsoring employer, or an associated employer, a director or a person connected to a director
- Whether alone, or with others, the sponsoring employer, or
- A person connected to the sponsoring employer.

Multiple occurrences of Member Details (data items 153-161), with multiple occurrences of payment details (data items 162-163) per member are allowed.

Member Details

153. Title		
X-path	SchemeEventReport/IIIHealthPayments/Member/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

154. Forename(s)		
X-path	SchemeEventReport/IIIHealthPayments/Member/Name/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 153,155-161 are completed 2. Must not be completed otherwise	

155. Forename(s) - Withdrawn

156. Surname		
X-path	SchemeEventReport/IIIHealthPayments/Member/Name/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 153-155, 160-161 are completed 2. Must not be completed otherwise	

157. Withdrawn

158. Withdrawn

159. Withdrawn

160. Date of birth		
X-path	SchemeEventReport/IIIHealthPayments/Member/DOB	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

161. National Insurance Number		
X-path	SchemeEventReport/IIIHealthPayments/Member/NINO	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:NINOtype
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	1. Mandatory if: any of data items 153-156 are completed 2. Must not be completed otherwise	

At least one set of payment details per member (Data items 154-161) must be present, multiple occurrences of payment details (data items 162-163) per member are allowed.

162. Date of Payment		
X-path	SchemeEventReport/IIIHealthPayments/Member/Payment/Date	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	SimpleDateType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD 2. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April' 3. Cannot be a future date	1. Mandatory for each occurrence of member details data items 153-161 2. Must not be completed otherwise	

163. Amount		
X-path	SchemeEventReport/IIIHealthPayments/Member/Payment/Amount	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters - Max 13 characters
Validation / Processing	Pre-condition	Post-condition
Range from 1.00 - 9999999999.00	1. Mandatory for each occurrence of member details data items 153-161 2. Must not be completed otherwise	

164. Withdrawn

4.3.11 Lump Sum Payment Exceeds Limits

Was there any pension commencement lump sum payments of more than 7.5% but less than 25% of the Standard Lifetime Allowance but more than 25% of a members pension rights paid, or a stand alone lump sum which is more than 7.5% of the Standard Lifetime Allowance?

Multiple occurrences of Member Details (elements 165-172), with multiple occurrences of payment (elements 173-175 per member are allowed.

Member Details

165. Title		
X-path	SchemeEventReport/LargeCommencementLumpSums/Member/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

166. Forename(s)		
X-path	SchemeEventReport/LargeCommencementLumpSums/Member/Name/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 165,167-172 are completed 2. Must not be completed otherwise	

167. Surname		
X-path	SchemeEventReport/LargeCommencementLumpSums/Member/Name/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 165-166, 171-172 are completed 2. Must not be completed otherwise	

168. Withdrawn

169. Withdrawn

170. Withdrawn

171. Date of birth

X-path	SchemeEventReport/LargeCommencementLumpSums/Member/DOB	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

172. National Insurance Number

X-path	SchemeEventReport/LargeCommencementLumpSums/Member/NINO	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:NINOType
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	<ol style="list-style-type: none"> 1. Mandatory if: any of data items 165-167 are completed 2. Must not be completed otherwise 	

Multiple instances of Payment details 173-175 are allowed for each instance of member details (data items 165-172)

173. Date of Payment		
X-path	SchemeEventReport/LargeCommencementLumpSums/Member/Payment/Date	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	SimpleDateType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD 2. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April' 3. Cannot be a future date	1. Mandatory for each instance of member details (data items 165-172)completed 2. Must not be completed otherwise	

174. The amount crystallised		
X-path	SchemeEventReport/LargeCommencementLumpSums/Member/Payment/AmountCrystallised	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters - Max 13 characters
Validation / Processing	Pre-condition	Post-condition
Range from 1.00 - 9999999999.00	1. Mandatory for each instance of member details (data items 165-172)completed 2. Must not be completed otherwise	

175. Amount of lump sum		
X-path	SchemeEventReport/LargeCommencementLumpSums/Member/Payment/AmountLumpSum	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters - Max 13 characters
Validation / Processing	Pre-condition	Post-condition
Range from 1.00 - 9999999999.00	1. Mandatory for each instance of member details (data items 165-172)completed 2. Must not be completed otherwise	

176. Withdrawn

177. Withdrawn

4.3.12 Lump Sum Payment to Member with Enhanced LA or Enhanced Protection

Did the scheme make a pension commencement lump sum payment or a stand alone lump sum to a member who relied on an enhanced lifetime allowance or enhanced protection?

Multiple occurrences of Member Details (elements 178-185), with multiple occurrences of payment details (elements 186-188) per member are allowed.

Member Details

178. Title		
X-path	SchemeEventReport/EnhancedProtectionLumpSums/Member/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

179. Forename(s)		
X-path	SchemeEventReport/EnhancedProtectionLumpSums/Member/Name/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 178,180-185 are completed 2. Must not be completed otherwise	

180. Surname		
X-path	SchemeEventReport/EnhancedProtectionLumpSums/Member/Name/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if any of data items 178,179, 184-185 are completed 2. Must not be completed otherwise	

181. Withdrawn

182. Withdrawn

183. Withdrawn

184. Date of birth

X-path	SchemeEventReport/EnhancedProtectionLumpSums/Member/DOB	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	xsd:date
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition
1. CCYY-MM-DD	The field is present in the schema but must not be completed.	

185. National Insurance Number

X-path	SchemeEventReport/EnhancedProtectionLumpSums/Member/NINO	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:NINOType
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition
Must correspond to NINO type pattern.	<ol style="list-style-type: none"> Mandatory if: any of data items 178-180 are completed Must not be completed otherwise 	

Payment details

Multiple occurrences of payment details (elements 186-188) per member are allowed.

186. Date of Payment

X-path	SchemeEventReport/EnhancedProtectionLumpSums/Member/Payment/Date	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	SimpleDateType
	Length	10 characters
Validation / Processing	Pre-condition	Post-condition

1. CCYY-MM-DD 2. This date must fall within the tax year detailed at (data item 3) 'This report is for the year ended 5 April' 3. Cannot be a future date	1. Mandatory for each occurrence of member (data items 178-185) 2. Must not be completed otherwise
---	---

187. Amount		
X-path	SchemeEventReport/EnhancedProtectionLumpSums/Member/Payment/Amount	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:IRnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters - Max 13 characters
Validation / Processing	Pre-condition	Post-condition
Range from 1.00 - 9999999999.00	1. Mandatory for each occurrence of member details (data items 178-185) 2. Must not be completed otherwise	

188. Reference number issued by HMRC to the member		
X-path	SchemeEventReport/EnhancedProtectionLumpSums/Member/Payment/Reference	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	8 characters
Validation / Processing	Pre-condition	Post-condition
Format NNNNNNNA (7 numbers followed by 1 letter)	1. Mandatory for each occurrence of member details (data items 178-185) 2. Must not be completed otherwise	

4.3.13 Scheme has income from taxable property

188.1 The scheme is treated as having made a scheme chargeable payment - income from taxable property		
X-path	SchemeEventReport/ProfitTaxableProperty	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:YesType
	Length	3 characters
Validation / Processing	Pre-condition	Post-condition
Must be 'yes'		

4.3.14 Scheme has gains from taxable property

188.2 The scheme is treated as having made a scheme chargeable payment - gains from taxable property		
X-path	SchemeEventReport/SoldDisposedTaxableProperty	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:YesType
	Length	3 characters
Validation / Processing	Pre-condition	Post-condition
Must be 'yes'		

4.3.15 Withdrawn

188.3 Withdrawn

4.3.16 Declarations

Declaration

Only Scheme Administrator Declaration or Practitioner Declaration can be sent at a time, they cannot be sent together. One declaration must be present.

Data items 189 and 190 must be completed if data items 191 and 192 have not been completed

Data items 191 and 192 must be completed if data items 189 and 190 have not been completed

Scheme Administrator Declaration

I declare:

189. that to the best of my knowledge and belief, the information given in this report is correct and complete		
X-path	SchemeEventReport/Declaration/InformationCorrect	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:DeclarationsType
	Length	3 characters
Validation / Processing	Pre-condition	Post-condition
Must be 'yes'	1. Mandatory if data items 191-192 (Practitioner Declaration) are not completed 2. Must not be completed otherwise	

190. I understand that if I have made a false statement on this report, I may be liable to a penalty, and that false statements may also lead to prosecution.		
X-path	SchemeEventReport/Declaration/NoFalseStatements	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:DeclarationsType
	Length	3 characters
Validation / Processing	Pre-condition	Post-condition
Must be 'yes'	1. Mandatory if data items 191-192 (Practitioner Declaration) are not completed 2. Must not be completed otherwise	

Practitioner declaration

I declare that:

191. the content of this report has been approved by the Scheme Administrator named below		
X-path	SchemeEventReport/Declaration/ApprovedByAdministrator	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:DeclarationsType
	Length	3 characters

Validation / Processing	Pre-condition	Post-condition
Must be 'yes'	1. Mandatory if data items 189-190 (Scheme Administrator Declaration) are not completed 2. Must not be completed otherwise	

192. The Scheme Administrator named below has authorised me to submit this report
--

X-path	SchemeEventReport/Declaration/Authorised	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:DeclarationsType
	Length	3 characters
Validation / Processing	Pre-condition	Post-condition
Must be 'yes'	1. Mandatory if data items 189-190 (Scheme Administrator Declaration) are not completed 2. Must not be completed otherwise	

**4.3.17 Scheme Administrator
Scheme Administrator Details**

Only Individual or Organisation Name can be sent at a time, they cannot be sent together.

Individual Details

193. Title		
X-path	SchemeEventReport/Declaration/Administrator/Individual/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character - Max 4 characters
Validation / Processing	Pre-condition	Post-condition

194. Forename(s)		
X-path	SchemeEventReport/Declaration/Administrator/Individual/Fore	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename - Max 2 forenames Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if data item 196 is not completed 2. Must not be completed otherwise	

195. Surname		
X-path	SchemeEventReport/Declaration/Administrator/Individual/Sur	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:NameStructure
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if data item 196 is not completed 2. Must not be completed otherwise	

196. Organisation Name		
X-path	SchemeEventReport/Declaration/Administrator/Organisation	
<i>Structure and format</i>		XML
	Mandatory/ Optional	Optional
	Data Type	PensionCore:PSOstringType
	Length	Min 1 character - Max 255 characters
Validation / Processing	Pre-condition	Post-condition
	1. Mandatory if data items 194-195 are not completed 2. Must not be completed otherwise	

197. Address		
X-path	SchemeEventReport/Declaration/Administrator/Address/Line	
<i>Structure and format</i>		XML
	Mandatory/ Optional	Mandatory
	Data Type	PensionCore:AddressType
	Length	Min 2 lines - Max 4 lines Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition

198. UK Postcode		
X-path	SchemeEventReport/Declaration/Administrator/Address/PostCode	
<i>Structure and format</i>		XML
	Mandatory/ Optional	Optional
	Data Type	PensionCore:AddressType
	Length	Min 1 character - Max 8 characters
Validation / Processing	Pre-condition	Post-condition

199. Country		
X-path	SchemeEventReport/Declaration/Administrator/Address/Country	
<i>Structure and format</i>		XML
	Mandatory / Optional	Mandatory
	Data Type	PensionCore:AdministratorAddressType
	Length	N/A
Validation / Processing	Pre-condition	Post-condition
Must be one of the following EEA or EU values:		

Austria	Liechtenstein	
Belgium	Lithuania	
Bulgaria	Luxembourg	
Cyprus	Malta	
Czech Republic	Norway	
Denmark	Poland	
Estonia	Portugal	
Finland	Republic of Ireland	
France	Romania	
Germany	Slovakia	
Gibraltar	Slovenia	
Greece	Spain	
Hungary	Sweden	
Iceland	The Netherlands	
Italy	United Kingdom	
Latvia		

200. Telephone number		
X-path	SchemeEventReport/Declaration/Administrator/Telephone	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	core:TelephoneNumberType
	Length	Min 1 character - Max 35 characters
Validation / Processing	Pre-condition	Post-condition

201. Scheme Administrator ID		
X-path	SchemeEventReport/Declaration/Administrator/ID	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	PensionCore:AdministratorIDType
	Length	8 characters
Validation / Processing	Pre-condition	Post-condition
Must be 'A' followed by 7 numbers	The Administrator ID in the declaration must match the Administrator ID in the keys section of the Irenvelope if the submitter is an administrator.	

202. e-mail		
X-path	SchemeEventReport/Declaration/Administrator/Email	
<i>Structure and format</i>		XML
	Mandatory / Optional	Optional
	Data Type	core:EmailType
	Length	Min 3 characters - Max 254 characters
Validation / Processing	Pre-condition	Post-condition

203. Withdrawn

4.3.18 Flexible Drawdown Payments

The scheme is treated as having made a flexible drawdown payment

Multiple occurrences of Recipient Payment Details (elements 204-209) are allowed.

Recipient Payment Details

204. Title		
X-path	SchemeEventReport/FlexibleDrawdownPayments/Recipient/Name/Ttl	
Structure and format		XML
	Mandatory / Optional	Optional
	Data Type	TitleType
	Length	Min 1 character – Max 4 characters
Validation / Processing	Pre-condition	Post-condition

205. Forename(s)		
X-path	SchemeEventReport/ FlexibleDrawdownPayments/Recipient /Name/Fore	
Structure and format		XML
	Mandatory / Optional	Mandatory
	Data Type	PensionCore:NameStructure
	Length	Min 1 forename – Max 2 forenames Min 1 character – Max 35 characters
Validation / Processing	Pre-condition	Post-condition

206. Surname		
X-path	SchemeEventReport/ FlexibleDrawdownPayments/Recipient /Name/Sur	
Structure and format		XML
	Mandatory / Optional	Mandatory
	Data Type	PensionCore:NameStructure
	Length	Min 1 character – Max 35 characters
Validation / Processing	Pre-condition	Post-condition

207. National Insurance Number		
X-path	SchemeEventReport/ FlexibleDrawdownPayments/Recipient /NINO	
Structure and format		XML
	Mandatory / Optional	Mandatory
	Data Type	core:NINOtype
	Length	9 characters
Validation / Processing	Pre-condition	Post-condition

208. Country or territory where sole or main residence is situated		
X-path	SchemeEventReport/ FlexibleDrawdownPayments/Recipient /Country	
Structure and format		XML
	Mandatory / Optional	Mandatory
	Data Type	PensionCore:PSOcountryType
	Length	Min 1 characters – Max 35 characters
Validation / Processing	Pre-condition	Post-condition

209. Amount		
X-path	SchemeEventReport/ FlexibleDrawdownPayments/Recipient /TotalPaymentsAmount	
Structure and format		XML
	Mandatory / Optional	Mandatory
	Data Type	core:IrnonNegativeWholeUnitsMonetaryType
	Length	Min 4 characters – Max 13 characters
Validation / Processing	Pre-condition	Post-condition
Range from 1.00 – 9999999999.00		

APPENDICES

Appendix A: Document Control

Document Version History:

Version	Date	Comments
0.A	12/08/11	First Draft version for E submission and core validation for April 2012
0.B	06/10/11	Review comments applied
1.0	21/10/2011	Baselined for February and April 2012
1.a	06/12/2011	Title (part of Flexible Drawdown payments changed from Mandatory to Optional.
1.1	20/01/2012	Baselined with no material changes from 1.a.

Related Documentation

Version	Schema Version	Form Name
0.1-0.2	\main\irbin\1	Registered Pension Scheme Event Report
1.1	Version 6.0	